websockets Documentation

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websockets is a library for building WebSocket servers and clients in Python with a focus on correctness and simplicity.

Built on top of asyncio, Python's standard asynchronous I/O framework, it provides an elegant coroutine-based API.

Here's how a client sends and receives messages:

```
#!/usr/bin/env python

import asyncio
import websockets

async def hello():
    uri = "ws://localhost:8765"
    async with websockets.connect(uri) as websocket:
        await websocket.send("Hello world!")
        await websocket.recv()

asyncio.get_event_loop().run_until_complete(hello())
```

And here's an echo server:

```
#!/usr/bin/env python
import asyncio
import websockets

async def echo(websocket, path):
    async for message in websocket:
        await websocket.send(message)

start_server = websockets.serve(echo, "localhost", 8765)
asyncio.get_event_loop().run_until_complete(start_server)
asyncio.get_event_loop().run_forever()
```

Do you like it? Let's dive in!

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CHAPTER 1

Tutorials

If you're new to websockets, this is the place to start.

1.1 Getting started

1.1.1 Requirements

websockets requires Python 3.6.

You should use the latest version of Python if possible. If you're using an older version, be aware that for each minor version (3.x), only the latest bugfix release (3.x.y) is officially supported.

1.1.2 Installation

Install websockets with:

```
pip install websockets
```

1.1.3 Basic example

Here's a WebSocket server example.

It reads a name from the client, sends a greeting, and closes the connection.

```
#!/usr/bin/env python

# WS server example

import asyncio
import websockets
```

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```
async def hello(websocket, path):
    name = await websocket.recv()
    print(f"< {name}")

    greeting = f"Hello {name}!"

    await websocket.send(greeting)
    print(f"> {greeting}")

start_server = websockets.serve(hello, "localhost", 8765)

asyncio.get_event_loop().run_until_complete(start_server)
asyncio.get_event_loop().run_forever()
```

On the server side, websockets executes the handler coroutine hello once for each WebSocket connection. It closes the connection when the handler coroutine returns.

Here's a corresponding WebSocket client example.

```
#!/usr/bin/env python

# WS client example

import asyncio
import websockets

async def hello():
    uri = "ws://localhost:8765"

    async with websockets.connect(uri) as websocket:
    name = input("What's your name? ")

    await websocket.send(name)
    print(f"> {name}")

    greeting = await websocket.recv()
    print(f"< {greeting}")

asyncio.get_event_loop().run_until_complete(hello())</pre>
```

Using connect () as an asynchronous context manager ensures the connection is closed before exiting the hello coroutine.

1.1.4 Secure example

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Secure WebSocket connections improve confidentiality and also reliability because they reduce the risk of interference by bad proxies.

The WSS protocol is to WS what HTTPS is to HTTP: the connection is encrypted with Transport Layer Security (TLS) — which is often referred to as Secure Sockets Layer (SSL). WSS requires TLS certificates like HTTPS.

Here's how to adapt the server example to provide secure connections. See the documentation of the ssl module for configuring the context securely.

```
#!/usr/bin/env python

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```

Chapter 1. Tutorials

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```
# WSS (WS over TLS) server example, with a self-signed certificate
import asyncio
import pathlib
import ssl
import websockets
async def hello (websocket, path):
   name = await websocket.recv()
   print(f"< {name}")</pre>
   greeting = f"Hello {name}!"
   await websocket.send(greeting)
   print(f"> {greeting}")
ssl_context = ssl.SSLContext(ssl.PROTOCOL_TLS_SERVER)
localhost_pem = pathlib.Path(__file__).with_name("localhost.pem")
ssl_context.load_cert_chain(localhost_pem)
start_server = websockets.serve(
   hello, "localhost", 8765, ssl=ssl_context
asyncio.get_event_loop().run_until_complete(start_server)
asyncio.get_event_loop().run_forever()
```

Here's how to adapt the client.

```
#!/usr/bin/env python
# WSS (WS over TLS) client example, with a self-signed certificate
import asyncio
import pathlib
import ssl
import websockets
ssl_context = ssl.SSLContext(ssl.PROTOCOL_TLS_CLIENT)
localhost_pem = pathlib.Path(__file__).with_name("localhost.pem")
ssl_context.load_verify_locations(localhost_pem)
async def hello():
   uri = "wss://localhost:8765"
    async with websockets.connect(
       uri, ssl=ssl_context
  ) as websocket:
        name = input("What's your name? ")
        await websocket.send(name)
        print(f"> {name}")
        greeting = await websocket.recv()
        print(f"< {greeting}")</pre>
asyncio.get_event_loop().run_until_complete(hello())
```

This client needs a context because the server uses a self-signed certificate.

A client connecting to a secure WebSocket server with a valid certificate (i.e. signed by a CA that your Python installation trusts) can simply pass ssl=True to connect () instead of building a context.

1.1.5 Browser-based example

Here's an example of how to run a WebSocket server and connect from a browser.

Run this script in a console:

```
#!/usr/bin/env python
# WS server that sends messages at random intervals
import asyncio
import datetime
import random
import websockets

async def time(websocket, path):
    while True:
        now = datetime.datetime.utcnow().isoformat() + "Z"
        await websocket.send(now)
        await asyncio.sleep(random.random() * 3)

start_server = websockets.serve(time, "127.0.0.1", 5678)

asyncio.get_event_loop().run_until_complete(start_server)
asyncio.get_event_loop().run_forever()
```

Then open this HTML file in a browser.

```
<!DOCTYPE html>
<html>
    <head>
        <title>WebSocket demo</title>
    </head>
    <body>
        <script>
           var ws = new WebSocket("ws://127.0.0.1:5678/"),
               messages = document.createElement('ul');
            ws.onmessage = function (event) {
                var messages = document.getElementsByTagName('ul')[0],
                    message = document.createElement('li'),
                    content = document.createTextNode(event.data);
                message.appendChild(content);
                messages.appendChild(message);
            document.body.appendChild(messages);
       </script>
   </body>
</html>
```

1.1.6 Synchronization example

A WebSocket server can receive events from clients, process them to update the application state, and synchronize the resulting state across clients.

Here's an example where any client can increment or decrement a counter. Updates are propagated to all connected clients.

The concurrency model of asyncio guarantees that updates are serialized.

Run this script in a console:

```
#!/usr/bin/env python
# WS server example that synchronizes state across clients
import asyncio
import json
import logging
import websockets
logging.basicConfig()
STATE = {"value": 0}
USERS = set()
def state_event():
   return json.dumps({"type": "state", **STATE})
def users event():
   return json.dumps({"type": "users", "count": len(USERS)})
async def notify_state():
   if USERS: # asyncio.wait doesn't accept an empty list
       message = state_event()
        await asyncio.wait([user.send(message) for user in USERS])
async def notify_users():
   if USERS: # asyncio.wait doesn't accept an empty list
       message = users_event()
        await asyncio.wait([user.send(message) for user in USERS])
async def register(websocket):
   USERS.add(websocket)
   await notify_users()
async def unregister(websocket):
   USERS.remove(websocket)
   await notify_users()
async def counter (websocket, path):
```

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```
# register(websocket) sends user_event() to websocket
    await register(websocket)
   try:
        await websocket.send(state_event())
        async for message in websocket:
            data = json.loads(message)
            if data["action"] == "minus":
                STATE["value"] -= 1
                await notify_state()
            elif data["action"] == "plus":
                STATE["value"] += 1
                await notify_state()
            else:
                logging.error("unsupported event: {}", data)
    finally:
        await unregister (websocket)
start_server = websockets.serve(counter, "localhost", 6789)
asyncio.get_event_loop().run_until_complete(start_server)
asyncio.get_event_loop().run_forever()
```

Then open this HTML file in several browsers.

```
<!DOCTYPE html>
<html>
    <head>
        <title>WebSocket demo</title>
        <style type="text/css">
            body {
                font-family: "Courier New", sans-serif;
                text-align: center;
            .buttons {
                font-size: 4em;
                display: flex;
                justify-content: center;
            .button, .value {
                line-height: 1;
                padding: 2rem;
                margin: 2rem;
                border: medium solid;
                min-height: 1em;
                min-width: 1em;
            .button {
                cursor: pointer;
                user-select: none;
            }
            .minus {
                color: red;
            .plus {
                color: green;
```

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```
.value {
                min-width: 2em;
            .state {
                font-size: 2em;
        </style>
    </head>
    <body>
        <div class="buttons">
            <div class="minus button">-</div>
            <div class="value">?</div>
            <div class="plus button">+</div>
        </div>
        <div class="state">
            <span class="users">?</span> online
        </div>
        <script>
            var minus = document.querySelector('.minus'),
                plus = document.querySelector('.plus'),
                value = document.querySelector('.value'),
                users = document.querySelector('.users'),
                websocket = new WebSocket("ws://127.0.0.1:6789/");
            minus.onclick = function (event) {
                websocket.send(JSON.stringify({action: 'minus'}));
            plus.onclick = function (event) {
                websocket.send(JSON.stringify({action: 'plus'}));
            websocket.onmessage = function (event) {
                data = JSON.parse(event.data);
                switch (data.type) {
                    case 'state':
                        value.textContent = data.value;
                        break;
                    case 'users':
                        users.textContent = (
                            data.count.toString() + " user" +
                            (data.count == 1 ? "" : "s"));
                        break;
                    default:
                        console.error(
                            "unsupported event", data);
            };
        </script>
    </body>
</html>
```

1.1.7 Common patterns

You will usually want to process several messages during the lifetime of a connection. Therefore you must write a loop. Here are the basic patterns for building a WebSocket server.

Consumer

For receiving messages and passing them to a consumer coroutine:

```
async def consumer_handler(websocket, path):
    async for message in websocket:
        await consumer(message)
```

In this example, consumer represents your business logic for processing messages received on the WebSocket connection.

Iteration terminates when the client disconnects.

Producer

For getting messages from a producer coroutine and sending them:

```
async def producer_handler(websocket, path):
    while True:
        message = await producer()
        await websocket.send(message)
```

In this example, producer represents your business logic for generating messages to send on the WebSocket connection.

send() raises a ConnectionClosed exception when the client disconnects, which breaks out of the while True loop.

Both

You can read and write messages on the same connection by combining the two patterns shown above and running the two tasks in parallel:

```
async def handler(websocket, path):
    consumer_task = asyncio.ensure_future(
        consumer_handler(websocket, path))
    producer_task = asyncio.ensure_future(
            producer_handler(websocket, path))
    done, pending = await asyncio.wait(
            [consumer_task, producer_task],
            return_when=asyncio.FIRST_COMPLETED,
    )
    for task in pending:
        task.cancel()
```

Registration

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As shown in the synchronization example above, if you need to maintain a list of currently connected clients, you must register them when they connect and unregister them when they disconnect.

```
connected = set()
async def handler(websocket, path):
    # Register.
```

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```
connected.add(websocket)

try:
    # Implement logic here.
    await asyncio.wait([ws.send("Hello!") for ws in connected])
    await asyncio.sleep(10)

finally:
    # Unregister.
    connected.remove(websocket)
```

This simplistic example keeps track of connected clients in memory. This only works as long as you run a single process. In a practical application, the handler may subscribe to some channels on a message broker, for example.

1.1.8 That's all!

The design of the websockets API was driven by simplicity.

You don't have to worry about performing the opening or the closing handshake, answering pings, or any other behavior required by the specification.

websockets handles all this under the hood so you don't have to.

1.1.9 One more thing...

websockets provides an interactive client:

```
$ python -m websockets wss://echo.websocket.org/
```

1.2 FAQ

Note: Many questions asked in websockets' issue tracker are actually about asyncio. Python's documentation about developing with asyncio is a good complement.

1.2.1 Server side

Why does the server close the connection after processing one message?

Your connection handler exits after processing one message. Write a loop to process multiple messages.

For example, if your handler looks like this:

```
async def handler(websocket, path):
    print(websocket.recv())
```

change it like this:

```
async def handler(websocket, path):
    async for message in websocket:
    print(message)
```

Don't feel bad if this happens to you — it's the most common question in websockets' issue tracker :-)

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Why can only one client connect at a time?

Your connection handler blocks the event loop. Look for blocking calls. Any call that may take some time must be asynchronous.

For example, if you have:

```
async def handler(websocket, path):
    time.sleep(1)
```

change it to:

```
async def handler(websocket, path):
   await asyncio.sleep(1)
```

This is part of learning asyncio. It isn't specific to websockets.

See also Python's documentation about running blocking code.

How do I get access HTTP headers, for example cookies?

To access HTTP headers during the WebSocket handshake, you can override process_request:

```
async def process_request(self, path, request_headers):
    cookies = request_header["Cookie"]
```

See

Once the connection is established, they're available in request_headers:

```
async def handler(websocket, path):
    cookies = websocket.request_headers["Cookie"]
```

How do I get the IP address of the client connecting to my server?

It's available in remote address:

```
async def handler(websocket, path):
    remote_ip = websocket.remote_address[0]
```

How do I set which IP addresses my server listens to?

```
Look at the host argument of create_server().
serve() accepts the same arguments as create_server().
```

How do I close a connection properly?

websockets takes care of closing the connection when the handler exits.

How do I run a HTTP server and WebSocket server on the same port?

This isn't supported.

Providing a HTTP server is out of scope for websockets. It only aims at providing a WebSocket server.

There's limited support for returning HTTP responses with the *process_request* hook. If you need more, pick a HTTP server and run it separately.

1.2.2 Client side

How do I close a connection properly?

The easiest is to use connect () as a context manager:

```
async with connect(...) as websocket:
...
```

How do I reconnect automatically when the connection drops?

See issue 414.

How do I disable TLS/SSL certificate verification?

```
Look at the ssl argument of create_connection().

connect() accepts the same arguments as create_connection().
```

1.2.3 Both sides

How do I do two things in parallel? How do I integrate with another coroutine?

You must start two tasks, which the event loop will run concurrently. You can achieve this with asyncio.gather() or asyncio.wait().

This is also part of learning asyncio and not specific to websockets.

Keep track of the tasks and make sure they terminate or you cancel them when the connection terminates.

How do I create channels or topics?

websockets doesn't have built-in publish / subscribe for these use cases.

Depending on the scale of your service, a simple in-memory implementation may do the job or you may need an external publish / subscribe component.

What does ConnectionClosedError: code = 1006 mean?

If you're seeing this traceback in the logs of a server:

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or if a client crashes with this traceback:

it means that the TCP connection was lost. As a consequence, the WebSocket connection was closed without receiving a close frame, which is abnormal.

You can catch and handle ConnectionClosed to prevent it from being logged.

There are several reasons why long-lived connections may be lost:

- End-user devices tend to lose network connectivity often and unpredictably because they can move out of wireless network coverage, get unplugged from a wired network, enter airplane mode, be put to sleep, etc.
- HTTP load balancers or proxies that aren't configured for long-lived connections may terminate connections after a short amount of time, usually 30 seconds.

If you're facing a reproducible issue, enable debug logs to see when and how connections are closed.

Are there onopen, onmessage, onerror, and onclose callbacks?

No, there aren't.

websockets provides high-level, coroutine-based APIs. Compared to callbacks, coroutines make it easier to manage control flow in concurrent code.

If you prefer callback-based APIs, you should use another library.

Can I use websockets synchronously, without async / await?

You can convert every asynchronous call to a synchronous call by wrapping it in asyncio.get_event_loop().run_until_complete(...).

If this turns out to be impractical, you should use another library.

1.2.4 Miscellaneous

How do I set a timeout on recv()?

Use wait_for():

```
await asyncio.wait_for(websocket.recv(), timeout=10)
```

This technique works for most APIs, except for asynchronous context managers. See issue 574.

How do I keep idle connections open?

websockets sends pings at 20 seconds intervals to keep the connection open.

In closes the connection if it doesn't get a pong within 20 seconds.

You can adjust this behavior with ping_interval and ping_timeout.

How do I respond to pings?

websockets takes care of responding to pings with pongs.

Is there a Python 2 version?

No, there isn't.

websockets builds upon asyncio which requires Python 3.

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How-to guides

These guides will help you build and deploy a websockets application.

2.1 Cheat sheet

2.1.1 Server

- Write a coroutine that handles a single connection. It receives a WebSocket protocol instance and the URI path in argument.
 - Call recv() and send() to receive and send messages at any time.
 - When recv() or send() raises ConnectionClosed, clean up and exit. If you started other asyncio.Task, terminate them before exiting.
 - If you aren't awaiting recv(), consider awaiting wait_closed() to detect quickly when the connection is closed.
 - You may ping () or pong () if you wish but it isn't needed in general.
- Create a server with <code>serve()</code> which is similar to asyncio's <code>create_server()</code>. You can also use it as an asynchronous context manager.
 - The server takes care of establishing connections, then lets the handler execute the application logic, and finally closes the connection after the handler exits normally or with an exception.
 - For advanced customization, you may subclass <code>WebSocketServerProtocol</code> and pass either this subclass or a factory function as the <code>create_protocol</code> argument.

2.1.2 Client

• Create a client with <code>connect()</code> which is similar to asyncio's <code>create_connection()</code>. You can also use it as an asynchronous context manager.

- For advanced customization, you may subclass WebSocketClientProtocol and pass either this subclass or a factory function as the create_protocol argument.
- Call recv() and send() to receive and send messages at any time.
- You may ping () or pong () if you wish but it isn't needed in general.
- If you aren't using connect () as a context manager, call close () to terminate the connection.

2.1.3 Debugging

If you don't understand what websockets is doing, enable logging:

```
import logging
logger = logging.getLogger('websockets')
logger.setLevel(logging.INFO)
logger.addHandler(logging.StreamHandler())
```

The logs contain:

- Exceptions in the connection handler at the ERROR level
- Exceptions in the opening or closing handshake at the INFO level
- All frames at the DEBUG level this can be very verbose

If you're new to asyncio, you will certainly encounter issues that are related to asynchronous programming in general rather than to websockets in particular. Fortunately Python's official documentation provides advice to develop with asyncio. Check it out: it's invaluable!

2.1.4 Passing additional arguments to the connection handler

When writing a server, if you need to pass additional arguments to the connection handler, you can bind them with functools.partial():

Another way to achieve this result is to define the handler coroutine in a scope where the extra_argument variable exists instead of injecting it through an argument.

2.2 Deployment

2.2.1 Application server

The author of websockets isn't aware of best practices for deploying network services based on asyncio, let alone application servers.

You can run a script similar to the server example, inside a supervisor if you deem that useful.

You can also add a wrapper to daemonize the process. Third-party libraries provide solutions for that.

If you can share knowledge on this topic, please file an issue. Thanks!

2.2.2 Graceful shutdown

You may want to close connections gracefully when shutting down the server, perhaps after executing some cleanup logic. There are two ways to achieve this with the object returned by <code>serve()</code>:

- · using it as a asynchronous context manager, or
- calling its close() method, then waiting for its wait_closed() method to complete.

On Unix systems, shutdown is usually triggered by sending a signal.

Here's a full example (Unix-only):

```
#!/usr/bin/env python
import asyncio
import signal
import websockets
async def echo (websocket, path):
    async for message in websocket:
        await websocket.send(message)
async def echo_server(stop):
   async with websockets.serve(echo, "localhost", 8765):
        await stop
loop = asyncio.get_event_loop()
# The stop condition is set when receiving SIGTERM.
stop = loop.create_future()
loop.add_signal_handler(signal.SIGTERM, stop.set_result, None)
# Run the server until the stop condition is met.
loop.run_until_complete(echo_server(stop))
```

It's more difficult to achieve the same effect on Windows. Some third-party projects try to help with this problem.

If your server doesn't run in the main thread, look at call_soon_threadsafe().

2.2.3 Memory usage

In most cases, memory usage of a WebSocket server is proportional to the number of open connections. When a server handles thousands of connections, memory usage can become a bottleneck.

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Memory usage of a single connection is the sum of:

- 1. the baseline amount of memory websockets requires for each connection,
- 2. the amount of data held in buffers before the application processes it,
- 3. any additional memory allocated by the application itself.

Baseline

Compression settings are the main factor affecting the baseline amount of memory used by each connection.

By default websockets maximizes compression rate at the expense of memory usage. If memory usage is an issue, lowering compression settings can help:

- Context Takeover is necessary to get good performance for almost all applications. It should remain enabled.
- Window Bits is a trade-off between memory usage and compression rate. It defaults to 15 and can be lowered. The default value isn't optimal for small, repetitive messages which are typical of WebSocket servers.
- Memory Level is a trade-off between memory usage and compression speed. It defaults to 8 and can be lowered.
 A lower memory level can actually increase speed thanks to memory locality, even if the CPU does more work!

See this *example* for how to configure compression settings.

Here's how various compression settings affect memory usage of a single connection on a 64-bit system, as well a benchmark of compressed size and compression time for a corpus of small JSON documents.

Compression	Window Bits	Memory Level	Memory usage	Size vs. default	Time vs. default
default	15	8	325 KiB	+0%	+0%
	14	7	181 KiB	+1.5%	-5.3%
	13	6	110 KiB	+2.8%	-7.5%
	12	5	73 KiB	+4.4%	-18.9%
	11	4	55 KiB	+8.5%	-18.8%
disabled	N/A	N/A	22 KiB	N/A	N/A

Don't assume this example is representative! Compressed size and compression time depend heavily on the kind of messages exchanged by the application!

You can run the same benchmark for your application by creating a list of typical messages and passing it to the benchmark function.

This blog post by Ilya Grigorik provides more details about how compression settings affect memory usage and how to optimize them.

This experiment by Peter Thorson suggests Window Bits = 11, Memory Level = 4 as a sweet spot for optimizing memory usage.

Buffers

Under normal circumstances, buffers are almost always empty.

Under high load, if a server receives more messages than it can process, bufferbloat can result in excessive memory use.

By default websockets has generous limits. It is strongly recommended to adapt them to your application. When you call serve():

- Set max_size (default: 1 MiB, UTF-8 encoded) to the maximum size of messages your application generates.
- Set max_queue (default: 32) to the maximum number of messages your application expects to receive faster than it can process them. The queue provides burst tolerance without slowing down the TCP connection.

Furthermore, you can lower read_limit and write_limit (default: 64 KiB) to reduce the size of buffers for incoming and outgoing data.

The design document provides more details about buffers.

2.2.4 Port sharing

The WebSocket protocol is an extension of HTTP/1.1. It can be tempting to serve both HTTP and WebSocket on the same port.

The author of websockets doesn't think that's a good idea, due to the widely different operational characteristics of HTTP and WebSocket.

websockets provide minimal support for responding to HTTP requests with the <code>process_request()</code> hook. Typical use cases include health checks. Here's an example:

```
#!/usr/bin/env python

# WS echo server with HTTP endpoint at /health/
import asyncio
import http
import websockets

async def health_check(path, request_headers):
    if path == "/health/":
        return http.HTTPStatus.OK, [], b"OK\n"

async def echo(websocket, path):
    async for message in websocket:
    await websocket.send(message)

start_server = websockets.serve(
    echo, "localhost", 8765, process_request=health_check
)

asyncio.get_event_loop().run_until_complete(start_server)
asyncio.get_event_loop().run_forever()
```

2.3 Extensions

The WebSocket protocol supports extensions.

At the time of writing, there's only one registered extension, WebSocket Per-Message Deflate, specified in RFC 7692.

2.3.1 Per-Message Deflate

<code>serve()</code> and <code>connect()</code> enable the Per-Message Deflate extension by default. You can disable this with <code>compression=None</code>.

You can also configure the Per-Message Deflate extension explicitly if you want to customize its parameters.

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Here's an example on the server side:

```
import websockets
from websockets.extensions import permessage_deflate

websockets.serve(
    ...,
    extensions=[
        permessage_deflate.ServerPerMessageDeflateFactory(
            server_max_window_bits=11,
            client_max_window_bits=11,
            compress_settings={'memLevel': 4},
        ),
    ],
}
```

Here's an example on the client side:

```
import websockets
from websockets.extensions import permessage_deflate

websockets.connect(
    ...,
    extensions=[
        permessage_deflate.ClientPerMessageDeflateFactory(
            server_max_window_bits=11,
            client_max_window_bits=11,
            compress_settings={'memLevel': 4},
        ),
    ],
}
```

Refer to the API documentation of ServerPerMessageDeflateFactory and ClientPerMessageDeflateFactory for details.

2.3.2 Writing an extension

During the opening handshake, WebSocket clients and servers negotiate which extensions will be used with which parameters. Then each frame is processed by extensions before it's sent and after it's received.

As a consequence writing an extension requires implementing several classes:

- 1. Extension Factory: it negotiates parameters and instantiates the extension. Clients and servers require separate extension factories with distinct APIs.
- 2. Extension: it decodes incoming frames and encodes outgoing frames. If the extension is symmetrical, clients and servers can use the same class.

websockets provides abstract base classes for extension factories and extensions.

```
class websockets.extensions.base.ServerExtensionFactory
Abstract class for server-side extension factories.
```

name

Extension identifier.

```
Return type str
```

```
process_request_params (params, accepted_extensions)
```

Process request parameters received from the client.

To accept the offer, return a 2-uple containing:

- response parameters: a list of (name, value) pairs
- an extension: an instance of a subclass of Extension

Parameters

- params (Sequence[Tuple[str, Optional[str]]]) list of (name, value) pairs.
- accepted_extensions (Sequence[Extension]) list of previously accepted extensions.

Raises NegotiationError – to reject the offer, if parameters aren't acceptable

Return type Tuple[List[Tuple[str, Optional[str]]], Extension]

class websockets.extensions.base.ClientExtensionFactory

Abstract class for client-side extension factories.

```
get_request_params()
```

Build request parameters.

Return a list of (name, value) pairs.

Return type List[Tuple[str, Optional[str]]]

name

Extension identifier.

Return type str

process_response_params (params, accepted_extensions)

Process response parameters received from the server.

Parameters

- params (Sequence[Tuple[str, Optional[str]]]) list of (name, value) pairs.
- accepted_extensions (Sequence[Extension]) list of previously accepted extensions.

Raises NegotiationError – if parameters aren't acceptable

Return type Extension

class websockets.extensions.base.Extension

Abstract class for extensions.

```
decode (frame, *, max_size=None)
```

Decode an incoming frame.

Parameters

- **frame** (*Frame*) incoming frame
- max_size (Optional[int]) maximum payload size in bytes

Return type Frame

encode (frame)

Encode an outgoing frame.

Parameters frame (Frame) – outgoing frame

2.3. Extensions 23

Return type Frame

name

Extension identifier.

Return type str

CHAPTER 3

Reference

Find all the details you could ask for, and then some.

3.1 API

3.1.1 Design

websockets provides complete client and server implementations, as shown in the *getting started guide*. These functions are built on top of low-level APIs reflecting the two phases of the WebSocket protocol:

- 1. An opening handshake, in the form of an HTTP Upgrade request;
- 2. Data transfer, as framed messages, ending with a closing handshake.

The first phase is designed to integrate with existing HTTP software. websockets provides a minimal implementation to build, parse and validate HTTP requests and responses.

The second phase is the core of the WebSocket protocol. websockets provides a complete implementation on top of asyncio with a simple API.

For convenience, public APIs can be imported directly from the websockets package, unless noted otherwise. Anything that isn't listed in this document is a private API.

3.1.2 High-level

Server

websockets.server defines the WebSocket server APIs.

```
await websockets.server.serve (ws_handler, host=None, port=None, *, create_protocol=None, ping_interval=20, ping_timeout=20, close_timeout=10, max_size=2 ** 20, max_queue=2 ** 5, read_limit=2 ** 16, loop=None, compression='deflate', origins=None, extensions=None, subprotocols=None, extra_headers=None, process_request=None, select_subprotocol=None, **kwds')
```

Create, start, and return a WebSocket server on host and port.

Whenever a client connects, the server accepts the connection, creates a <code>WebSocketServerProtocol</code>, performs the opening handshake, and delegates to the connection handler defined by <code>ws_handler</code>. Once the handler completes, either normally or with an exception, the server performs the closing handshake and closes the connection.

Awaiting serve() yields a WebSocketServer. This instance provides close() and wait_closed() methods for terminating the server and cleaning up its resources.

When a server is closed with <code>close()</code>, it closes all connections with close code 1001 (going away). Connections handlers, which are running the ws_handler coroutine, will receive a <code>ConnectionClosedOK</code> exception on their current or next interaction with the WebSocket connection.

serve () can also be used as an asynchronous context manager. In this case, the server is shut down when exiting the context.

serve() is a wrapper around the event loop's create_server() method. It creates and starts a Server with create_server(). Then it wraps the Server in a WebSocketServer and returns the WebSocketServer.

The ws_handler argument is the WebSocket handler. It must be a coroutine accepting two arguments: a WebSocketServerProtocol and the request URI.

The host and port arguments, as well as unrecognized keyword arguments, are passed along to create_server().

For example, you can set the ssl keyword argument to a SSLContext to enable TLS.

The create_protocol parameter allows customizing the Protocol that manages the connection. It should be a callable or class accepting the same arguments as <code>WebSocketServerProtocol</code> and returning an instance of <code>WebSocketServerProtocol</code> or a subclass. It defaults to <code>WebSocketServerProtocol</code>.

The behavior of ping_interval, ping_timeout, close_timeout, max_size, max_queue, read_limit, and write_limit is described in WebSocketCommonProtocol.

serve () also accepts the following optional arguments:

- compression is a shortcut to configure compression extensions; by default it enables the "permessage-deflate" extension; set it to None to disable compression
- origins defines acceptable Origin HTTP headers; include None if the lack of an origin is acceptable
- extensions is a list of supported extensions in order of decreasing preference
- subprotocols is a list of supported subprotocols in order of decreasing preference
- extra_headers sets additional HTTP response headers when the handshake succeeds; it can be a *Headers* instance, a Mapping, an iterable of (name, value) pairs, or a callable taking the request path and headers in arguments and returning one of the above
- process_request allows intercepting the HTTP request; it must be a coroutine taking the request path and headers in argument; see process_request() for details

• select_subprotocol allows customizing the logic for selecting a subprotocol; it must be a callable taking the subprotocols offered by the client and available on the server in argument; see select_subprotocol() for details

Since there's no useful way to propagate exceptions triggered in handlers, they're sent to the 'websockets. server' logger instead. Debugging is much easier if you configure logging to print them:

```
import logging
logger = logging.getLogger('websockets.server')
logger.setLevel(logging.ERROR)
logger.addHandler(logging.StreamHandler())
```

```
await websockets.server.unix_serve(ws_handler, path, **kwargs)
```

Similar to serve (), but for listening on Unix sockets.

This function calls the event loop's create_unix_server() method.

It is only available on Unix.

It's useful for deploying a server behind a reverse proxy such as nginx.

Parameters path (str) – file system path to the Unix socket

Return type Serve

```
class websockets.server.WebSocketServer(loop)
```

WebSocket server returned by serve().

This class provides the same interface as AbstractServer, namely the close() and wait_closed() methods.

It keeps track of WebSocket connections in order to close them properly when shutting down.

Instances of this class store a reference to the Server object returned by <code>create_server()</code> rather than inherit from Server in part because <code>create_server()</code> doesn't support passing a custom <code>Server class</code>.

close()

Close the server.

This method:

- closes the underlying Server;
- rejects new WebSocket connections with an HTTP 503 (service unavailable) error; this happens when
 the server accepted the TCP connection but didn't complete the WebSocket opening handshake prior
 to closing;
- closes open WebSocket connections with close code 1001 (going away).

close() is idempotent.

Return type None

await wait_closed()

Wait until the server is closed.

When wait_closed() returns, all TCP connections are closed and all connection handlers have returned.

Return type None

sockets

List of socket objects the server is listening to.

None if the server is closed.

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Return type Optional[List[socket]]

Protocol subclass implementing a WebSocket server.

This class inherits most of its methods from WebSocketCommonProtocol.

For the sake of simplicity, it doesn't rely on a full HTTP implementation. Its support for HTTP responses is very limited.

await handshake (origins=None, available_extensions=None, available_subprotocols=None, extra_headers=None)

Perform the server side of the opening handshake.

Return the path of the URI of the request.

Parameters

- origins (Optional[Sequence[Optional[NewType()(Origin, str)]]]) list of acceptable values of the Origin HTTP header; include None if the lack of an origin is acceptable
- available_extensions (Optional[Sequence[ServerExtensionFactory]]) list of supported extensions in the order in which they should be used
- available_subprotocols (Optional[Sequence[NewType()(Subprotocol, str)]]) list of supported subprotocols in order of decreasing preference
- extra_headers (Union[Headers, Mapping[str, str], Iterable[Tuple[str, str]], Callable[[str, Headers], Union[Headers, Mapping[str, str], Iterable[Tuple[str, str]]]], None]) sets additional HTTP response headers when the handshake succeeds; it can be a Headers instance, a Mapping, an iterable of (name, value) pairs, or a callable taking the request path and headers in arguments and returning one of the above.

Raises InvalidHandshake - if the handshake fails

Return type str

await process_request(path, request_headers)

Intercept the HTTP request and return an HTTP response if appropriate.

If process_request returns None, the WebSocket handshake continues. If it returns 3-uple containing a status code, response headers and a response body, that HTTP response is sent and the connection is closed. In that case:

- The HTTP status must be a HTTPStatus.
- HTTP headers must be a Headers instance, a Mapping, or an iterable of (name, value) pairs.
- The HTTP response body must be bytes. It may be empty.

This coroutine may be overridden in a WebSocketServerProtocol subclass, for example:

- to return a HTTP 200 OK response on a given path; then a load balancer can use this path for a health check;
- to authenticate the request and return a HTTP 401 Unauthorized or a HTTP 403 Forbidden when authentication fails.

Instead of subclassing, it is possible to override this method by passing a process_request argument to the <code>serve()</code> function or the <code>WebSocketServerProtocol</code> constructor. This is equivalent, except process_request won't have access to the protocol instance, so it can't store information for later use.

process_request is expected to complete quickly. If it may run for a long time, then it should await wait_closed() and exit if wait_closed() completes, or else it could prevent the server from shutting down.

Parameters

- path (str) request path, including optional query string
- request_headers (Headers) request headers

```
Return type Optional[Tuple[HTTPStatus, Union[Headers, Mapping[str, str], Iterable[Tuple[str, str]]], bytes]]
```

select_subprotocol (client_subprotocols, server_subprotocols)

Pick a subprotocol among those offered by the client.

If several subprotocols are supported by the client and the server, the default implementation selects the preferred subprotocols by giving equal value to the priorities of the client and the server.

If no subprotocol is supported by the client and the server, it proceeds without a subprotocol.

This is unlikely to be the most useful implementation in practice, as many servers providing a subprotocol will require that the client uses that subprotocol. Such rules can be implemented in a subclass.

Instead of subclassing, it is possible to override this method by passing a select_subprotocol argument to the <code>serve()</code> function or the <code>WebSocketServerProtocol</code> constructor

Parameters

- client_subprotocols (Sequence[NewType()(Subprotocol, str)]) list of subprotocols offered by the client
- **server_subprotocols** (Sequence[NewType()(Subprotocol, str)]) list of subprotocols available on the server

Return type Optional[NewType()(Subprotocol, str)]

Client

websockets.client defines the WebSocket client APIs.

```
await websockets.client.connect (uri, *, create_protocol=None, ping_interval=20, ping_timeout=20, close_timeout=10, max_size=2 ** 20, max_queue=2 ** 5, read_limit=2 ** 16, write_limit=2 ** 16, loop=None, compression='deflate', origin=None, extensions=None, subprotocols=None, extra_headers=None, **kwds)
```

Connect to the WebSocket server at the given uri.

Awaiting connect () yields a WebSocketClientProtocol which can then be used to send and receive messages.

connect () can also be used as a asynchronous context manager. In that case, the connection is closed when exiting the context.

connect() is a wrapper around the event loop's create_connection() method. Unknown keyword
arguments are passed to create_connection().

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For example, you can set the ssl keyword argument to a SSLContext to enforce some TLS settings. When connecting to a wss:// URI, if this argument isn't provided explicitly, it's set to True, which means Python's default SSLContext is used.

You can connect to a different host and port from those found in uri by setting host and port keyword arguments. This only changes the destination of the TCP connection. The host name from uri is still used in the TLS handshake for secure connections and in the Host HTTP header.

The create_protocol parameter allows customizing the Protocol that manages the connection. It should be a callable or class accepting the same arguments as <code>WebSocketClientProtocol</code> and returning an instance of <code>WebSocketClientProtocol</code> or a subclass. It defaults to <code>WebSocketClientProtocol</code>.

The behavior of ping_interval, ping_timeout, close_timeout, max_size, max_queue, read_limit, and write_limit is described in WebSocketCommonProtocol.

connect () also accepts the following optional arguments:

- compression is a shortcut to configure compression extensions; by default it enables the "permessage-deflate" extension; set it to None to disable compression
- origin sets the Origin HTTP header
- extensions is a list of supported extensions in order of decreasing preference
- subprotocols is a list of supported subprotocols in order of decreasing preference
- extra_headers sets additional HTTP request headers; it can be a Headers instance, a Mapping, or an iterable of (name, value) pairs

Raises

- InvalidURI if uri is invalid
- InvalidHandshake if the opening handshake fails

```
await websockets.client.unix_connect (path, uri='ws://localhost/', **kwargs)
Similar to connect (), but for connecting to a Unix socket.
```

This function calls the event loop's create_unix_connection() method.

It is only available on Unix.

It's mainly useful for debugging servers listening on Unix sockets.

Parameters

- path (str) file system path to the Unix socket
- uri (str) WebSocket URI

Return type Connect

```
 \textbf{class} \text{ websockets.client.WebSocketClientProtocol} (*, \textit{origin=None}, \textit{extensions=None}, \\ \textit{subprotocols=None}, & \textit{extra\_headers=None}, & \textit{extra\_headers=None}, **kwargs)
```

Protocol subclass implementing a WebSocket client.

This class inherits most of its methods from WebSocketCommonProtocol.

```
await handshake (wsuri, origin=None, available_extensions=None, available_subprotocols=None, extra_headers=None)

Perform the client side of the opening handshake.
```

Parameters

• origin (Optional[NewType () (Origin, str)]) - sets the Origin HTTP header

- available_extensions (Optional[Sequence[ClientExtensionFactory]]) list of supported extensions in the order in which they should be used
- available_subprotocols (Optional[Sequence[NewType()(Subprotocol, str)]]) list of supported subprotocols in order of decreasing preference
- extra_headers (Union[Headers, Mapping[str, str], Iterable[Tuple[str, str]], None]) sets additional HTTP request headers; it must be a Headers instance, a Mapping, or an iterable of (name, value) pairs

Raises InvalidHandshake - if the handshake fails

Return type None

Shared

websockets.protocol handles WebSocket control and data frames.

See sections 4 to 8 of RFC 6455.

```
 \textbf{class} \  \, \textbf{websockets.protocol.WebSocketCommonProtocol} \, (*, ping\_interval=20, ping\_timeout=20, close\_timeout=None, max\_size=1048576, max\_queue=32, read\_limit=65536, write\_limit=65536, loop=None, host=None, port=None, secure=None, legacy\_recv=False, timeout=None)
```

Protocol subclass implementing the data transfer phase.

Once the WebSocket connection is established, during the data transfer phase, the protocol is almost symmetrical between the server side and the client side. WebSocketCommonProtocol implements logic that's shared between servers and clients...

Subclasses such as WebSocketServerProtocol and WebSocketClientProtocol implement the opening handshake, which is different between servers and clients.

WebSocketCommonProtocol performs four functions:

- It runs a task that stores incoming data frames in a queue and makes them available with the recv()
 coroutine.
- It sends outgoing data frames with the send () coroutine.
- It deals with control frames automatically.
- It performs the closing handshake.

WebSocketCommonProtocol supports asynchronous iteration:

```
async for message in websocket:
   await process(message)
```

The iterator yields incoming messages. It exits normally when the connection is closed with the close code 1000 (OK) or 1001 (going away). It raises a ConnectionClosedError exception when the connection is closed with any other code.

Once the connection is open, a Ping frame is sent every ping_interval seconds. This serves as a keepalive. It helps keeping the connection open, especially in the presence of proxies with short timeouts on inactive connections. Set ping_interval to None to disable this behavior.

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If the corresponding Pong frame isn't received within ping_timeout seconds, the connection is considered unusable and is closed with code 1011. This ensures that the remote endpoint remains responsive. Set ping timeout to None to disable this behavior.

The close_timeout parameter defines a maximum wait time in seconds for completing the closing handshake and terminating the TCP connection. close() completes in at most $4 * close_timeout$ on the server side and $5 * close_timeout$ on the client side.

close_timeout needs to be a parameter of the protocol because websockets usually calls close() implicitly:

- on the server side, when the connection handler terminates,
- on the client side, when exiting the context manager for the connection.

To apply a timeout to any other API, wrap it in wait_for().

The max_size parameter enforces the maximum size for incoming messages in bytes. The default value is 1 MiB. None disables the limit. If a message larger than the maximum size is received, recv() will raise ConnectionClosedError and the connection will be closed with code 1009.

The max_queue parameter sets the maximum length of the queue that holds incoming messages. The default value is 32. None disables the limit. Messages are added to an in-memory queue when they're received; then recv() pops from that queue. In order to prevent excessive memory consumption when messages are received faster than they can be processed, the queue must be bounded. If the queue fills up, the protocol stops processing incoming data until recv() is called. In this situation, various receive buffers (at least in asyncio and in the OS) will fill up, then the TCP receive window will shrink, slowing down transmission to avoid packet loss.

Since Python can use up to 4 bytes of memory to represent a single character, each connection may use up to 4 * max_size * max_queue bytes of memory to store incoming messages. By default, this is 128 MiB. You may want to lower the limits, depending on your application's requirements.

The read_limit argument sets the high-water limit of the buffer for incoming bytes. The low-water limit is half the high-water limit. The default value is 64 KiB, half of asyncio's default (based on the current implementation of StreamReader).

The write_limit argument sets the high-water limit of the buffer for outgoing bytes. The low-water limit is a quarter of the high-water limit. The default value is 64 KiB, equal to asyncio's default (based on the current implementation of FlowControlMixin).

As soon as the HTTP request and response in the opening handshake are processed:

- the request path is available in the path attribute;
- the request and response HTTP headers are available in the request_headers and response_headers attributes, which are *Headers* instances.

If a subprotocol was negotiated, it's available in the subprotocol attribute.

Once the connection is closed, the code is available in the close_code attribute and the reason in close_reason.

All these attributes must be treated as read-only.

await close(code=1000, reason=")

Perform the closing handshake.

close () waits for the other end to complete the handshake and for the TCP connection to terminate. As a consequence, there's no need to await wait_closed(); close() already does it.

close() is idempotent: it doesn't do anything once the connection is closed.

Wrapping close() in create_task() is safe, given that errors during connection termination aren't particularly useful.

Canceling <code>close()</code> is discouraged. If it takes too long, you can set a shorter <code>close_timeout</code>. If you don't want to wait, let the Python process exit, then the OS will close the TCP connection.

Parameters

- code (int) WebSocket close code
- reason (str) WebSocket close reason

Return type None

```
await wait_closed()
```

Wait until the connection is closed.

This is identical to *closed*, except it can be awaited.

This can make it easier to handle connection termination, regardless of its cause, in tasks that interact with the WebSocket connection.

Return type None

```
await recv()
```

Receive the next message.

Return a str for a text frame and bytes for a binary frame.

When the end of the message stream is reached, recv() raises ConnectionClosed. Specifically, it raises ConnectionClosedOK after a normal connection closure and ConnectionClosedError after a protocol error or a network failure.

Changed in version 3.0: recv() used to return None instead. Refer to the changelog for details.

Canceling recv() is safe. There's no risk of losing the next message. The next invocation of recv() will return it. This makes it possible to enforce a timeout by wrapping recv() in wait_for().

Raises

- ConnectionClosed when the connection is closed
- RuntimeError if two coroutines call recv() concurrently

Return type Union[str, bytes]

await send(message)

Send a message.

A string (str) is sent as a Text frame. A bytestring or bytes-like object (bytes, bytearray, or memoryview) is sent as a Binary frame.

send() also accepts an iterable or an asynchronous iterable of strings, bytestrings, or bytes-like objects. In that case the message is fragmented. Each item is treated as a message fragment and sent in its own frame. All items must be of the same type, or else send() will raise a TypeError and the connection will be closed.

Canceling <code>send()</code> is discouraged. Instead, you should close the connection with <code>close()</code>. Indeed, there only two situations where <code>send()</code> yields control to the event loop:

- 1. The write buffer is full. If you don't want to wait until enough data is sent, your only alternative is to close the connection. close () will likely time out then abort the TCP connection.
- 2. message is an asynchronous iterator. Stopping in the middle of a fragmented message will cause a protocol error. Closing the connection has the same effect.

Raises TypeError – for unsupported inputs

Return type None

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await ping(data=None)

Send a ping.

Return a Future which will be completed when the corresponding pong is received and which you may ignore if you don't want to wait.

A ping may serve as a keepalive or as a check that the remote endpoint received all messages up to this point:

```
pong_waiter = await ws.ping()
await pong_waiter # only if you want to wait for the pong
```

By default, the ping contains four random bytes. This payload may be overridden with the optional data argument which must be a string (which will be encoded to UTF-8) or a bytes-like object.

Canceling ping() is discouraged. If ping() doesn't return immediately, it means the write buffer is full. If you don't want to wait, you should close the connection.

Canceling the Future returned by ping () has no effect.

```
Return type Awaitable[None]
```

```
await pong(data=b")
```

Send a pong.

An unsolicited pong may serve as a unidirectional heartbeat.

The payload may be set with the optional data argument which must be a string (which will be encoded to UTF-8) or a bytes-like object.

Canceling pong() is discouraged for the same reason as ping().

Return type None

local address

Local address of the connection.

This is a (host, port) tuple or None if the connection hasn't been established yet.

```
Return type Any
```

remote address

Remote address of the connection.

This is a (host, port) tuple or None if the connection hasn't been established yet.

```
Return type Any
```

open

True when the connection is usable.

It may be used to detect disconnections. However, this approach is discouraged per the EAFP principle.

When open is False, using the connection raises a ConnectionClosed exception.

```
Return type bool
```

closed

True once the connection is closed.

Be aware that both open and closed are False during the opening and closing sequences.

Return type bool

Types

websockets.typing.Data = typing.Union[str, bytes] Union type; Union[X, Y] means either X or Y.

To define a union, use e.g. Union[int, str]. Details:

- The arguments must be types and there must be at least one.
- None as an argument is a special case and is replaced by type(None).
- Unions of unions are flattened, e.g.:

```
Union[Union[int, str], float] == Union[int, str, float]
```

• Unions of a single argument vanish, e.g.:

```
Union[int] == int # The constructor actually returns int
```

• Redundant arguments are skipped, e.g.:

```
Union[int, str, int] == Union[int, str]
```

• When comparing unions, the argument order is ignored, e.g.:

```
Union[int, str] == Union[str, int]
```

When two arguments have a subclass relationship, the least derived argument is kept, e.g.:

```
class Employee: pass
class Manager(Employee): pass
Union[int, Employee, Manager] == Union[int, Employee]
Union[Manager, int, Employee] == Union[int, Employee]
Union[Employee, Manager] == Employee
```

• Similar for object:

```
Union[int, object] == object
```

- You cannot subclass or instantiate a union.
- You can use Optional[X] as a shorthand for Union[X, None].

Per-Message Deflate Extension

websockets.extensions.permessage_deflate implements the Compression Extensions for WebSocket as specified in RFC 7692.

client_no_context_ server_max_windo client_max_windo com-

press_settings=No

Server-side extension factory for the Per-Message Deflate extension.

Parameters behave as described in section 7.1 of RFC 7692. Set them to True to include them in the negotiation offer without a value or to an integer value to include them with this value.

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Parameters

- server_no_context_takeover (bool) defaults to False
- client_no_context_takeover (bool) defaults to False
- server_max_window_bits (Optional[int]) optional, defaults to None
- client max window bits (Optional[int]) optional, defaults to None
- compress_settings (Optional[Dict[str, Any]]) optional, keyword arguments for zlib.compressobj(), excluding wbits

 $\textbf{class} \ \ \textbf{websockets.extensions.permessage_deflate.ClientPerMessageDeflateFactory} \ (\textit{server_no_context-client_$

server_max_windo client_max_windo com-

press_settings=No

Client-side extension factory for the Per-Message Deflate extension.

Parameters behave as described in section 7.1 of RFC 7692. Set them to True to include them in the negotiation offer without a value or to an integer value to include them with this value.

Parameters

- server_no_context_takeover (bool) defaults to False
- client_no_context_takeover (bool) defaults to False
- server_max_window_bits (Optional[int]) optional, defaults to None
- client max window bits (Optional[int]) optional, defaults to None
- compress_settings (Optional[Dict[str, Any]]) optional, keyword arguments for zlib.compressobj(), excluding wbits

HTTP Basic Auth

websockets.auth provides HTTP Basic Authentication according to RFC 7235 and RFC 7617.

Protocol factory that enforces HTTP Basic Auth.

basic_auth_protocol_factory is designed to integrate with serve() like this:

```
websockets.serve(
    ...,
    create_protocol=websockets.basic_auth_protocol_factory(
        realm="my dev server",
        credentials=("hello", "iloveyou"),
    )
)
```

realm indicates the scope of protection. It should contain only ASCII characters because the encoding of non-ASCII characters is undefined. Refer to section 2.2 of RFC 7235 for details.

credentials defines hard coded authorized credentials. It can be a (username, password) pair or a list of such pairs.

check_credentials defines a coroutine that checks whether credentials are authorized. This coroutine receives username and password arguments and returns a bool.

One of credentials or check_credentials must be provided but not both.

By default, basic_auth_protocol_factory creates a factory for building BasicAuthWebSocketServerProtocol instances. You can override this with the create_protocol parameter.

Parameters

- realm(str) scope of protection
- credentials (Union[Tuple[str, str], Iterable[Tuple[str, str]], None]) hard coded credentials
- check_credentials (Optional[Callable[[str, str], Awaitable[bool]]]) –
 coroutine that verifies credentials

Raises TypeError – if the credentials argument has the wrong type

Return type Callable[[Any], BasicAuthWebSocketServerProtocol]

WebSocket server protocol that enforces HTTP Basic Auth.

```
await process_request(path, request_headers)
```

Check HTTP Basic Auth and return a HTTP 401 or 403 response if needed.

If authentication succeeds, the username of the authenticated user is stored in the username attribute.

```
Return type Optional[Tuple[HTTPStatus, Union[Headers, Mapping[str, str], Iterable[Tuple[str, str]]], bytes]]
```

Exceptions

websockets.exceptions defines the following exception hierarchy:

- WebSocketException
 - ConnectionClosed
 - * ConnectionClosedError
 - * ConnectionClosedOK
 - InvalidHandshake
 - * SecurityError
 - * InvalidMessage
 - * InvalidHeader
 - · InvalidHeaderFormat
 - · InvalidHeaderValue
 - · InvalidOrigin
 - · InvalidUpgrade
 - * InvalidStatusCode

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* NegotiationError

- · DuplicateParameter
- · InvalidParameterName
- · InvalidParameterValue
- * AbortHandshake
- * RedirectHandshake
- InvalidState
- InvalidURI
- PayloadTooBig
- ProtocolError

exception websockets.exceptions.WebSocketException

Base class for all exceptions defined by websockets.

exception websockets.exceptions.ConnectionClosed (code, reason)

Raised when trying to interact with a closed connection.

Provides the connection close code and reason in its code and reason attributes respectively.

exception websockets.exceptions.ConnectionClosedError(code, reason)

Like ConnectionClosed, when the connection terminated with an error.

This means the close code is different from 1000 (OK) and 1001 (going away).

exception websockets.exceptions.ConnectionClosedOK (code, reason)

Like ConnectionClosed, when the connection terminated properly.

This means the close code is 1000 (OK) or 1001 (going away).

exception websockets.exceptions.InvalidHandshake

Raised during the handshake when the WebSocket connection fails.

exception websockets.exceptions.SecurityError

Raised when a handshake request or response breaks a security rule.

Security limits are hard coded.

exception websockets.exceptions.InvalidMessage

Raised when a handshake request or response is malformed.

$\textbf{exception} \ \ \textbf{websockets.exceptions.InvalidHeader} \ (\textit{name}, \textit{value=None})$

Raised when a HTTP header doesn't have a valid format or value.

exception websockets.exceptions.InvalidHeaderFormat (name, error, header, pos)

Raised when a HTTP header cannot be parsed.

The format of the header doesn't match the grammar for that header.

exception websockets.exceptions.InvalidHeaderValue(name, value=None)

Raised when a HTTP header has a wrong value.

The format of the header is correct but a value isn't acceptable.

exception websockets.exceptions.InvalidOrigin(origin)

Raised when the Origin header in a request isn't allowed.

exception websockets.exceptions.InvalidUpgrade (name, value=None)

Raised when the Upgrade or Connection header isn't correct.

 $\textbf{exception} \ \ \texttt{websockets.exceptions.InvalidStatusCode} \ (\textit{status_code})$

Raised when a handshake response status code is invalid.

The integer status code is available in the status_code attribute.

exception websockets.exceptions.NegotiationError

Raised when negotiating an extension fails.

exception websockets.exceptions.DuplicateParameter(name)

Raised when a parameter name is repeated in an extension header.

exception websockets.exceptions.InvalidParameterName (name)

Raised when a parameter name in an extension header is invalid.

exception websockets.exceptions.InvalidParameterValue (name, value)

Raised when a parameter value in an extension header is invalid.

exception websockets.exceptions.**AbortHandshake**(status, headers, body=b")

Raised to abort the handshake on purpose and return a HTTP response.

This exception is an implementation detail.

The public API is process_request().

exception websockets.exceptions.RedirectHandshake(uri)

Raised when a handshake gets redirected.

This exception is an implementation detail.

exception websockets.exceptions.InvalidState

Raised when an operation is forbidden in the current state.

This exception is an implementation detail.

It should never be raised in normal circumstances.

exception websockets.exceptions.InvalidURI(uri)

Raised when connecting to an URI that isn't a valid WebSocket URI.

exception websockets.exceptions.PayloadTooBig

Raised when receiving a frame with a payload exceeding the maximum size.

exception websockets.exceptions.ProtocolError

Raised when the other side breaks the protocol.

websockets.exceptions.WebSocketProtocolError

 ${\bf alias\ of\ websockets.exceptions.ProtocolError}$

3.1.3 Low-level

Opening handshake

websockets.handshake provides helpers for the WebSocket handshake.

See section 4 of RFC 6455.

Some checks cannot be performed because they depend too much on the context; instead, they're documented below.

To accept a connection, a server must:

- Read the request, check that the method is GET, and check the headers with check_request(),
- Send a 101 response to the client with the headers created by <code>build_response()</code> if the request is valid; otherwise, send an appropriate HTTP error code.

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To open a connection, a client must:

- Send a GET request to the server with the headers created by build_request(),
- Read the response, check that the status code is 101, and check the headers with <code>check_response()</code>.

```
websockets.handshake.build_request(headers)
```

Build a handshake request to send to the server.

Update request headers passed in argument.

```
Parameters headers (Headers) – request headers
```

Return type str

Returns key which must be passed to check_response()

```
websockets.handshake.check_request(headers)
```

Check a handshake request received from the client.

This function doesn't verify that the request is an HTTP/1.1 or higher GET request and doesn't perform <code>Host</code> and <code>Origin</code> checks. These controls are usually performed earlier in the HTTP request handling code. They're the responsibility of the caller.

```
Parameters headers (Headers) – request headers
```

```
Return type str
```

Returns key which must be passed to build_response()

Raises *InvalidHandshake* – if the handshake request is invalid; then the server must return 400 Bad Request error

```
websockets.handshake.build_response(headers, key)
```

Build a handshake response to send to the client.

Update response headers passed in argument.

Parameters

- headers (Headers) response headers
- **key** (str) comes from check_request()

Return type None

```
websockets.handshake.check_response(headers, key)
```

Check a handshake response received from the server.

This function doesn't verify that the response is an HTTP/1.1 or higher response with a 101 status code. These controls are the responsibility of the caller.

Parameters

- headers (Headers) response headers
- key (str) comes from build_request()

Raises InvalidHandshake - if the handshake response is invalid

Return type None

Data transfer

websockets.framing reads and writes WebSocket frames.

It deals with a single frame at a time. Anything that depends on the sequence of frames is implemented in websockets.protocol.

See section 5 of RFC 6455.

class websockets.framing.Frame
 WebSocket frame.

Parameters

- fin (bool) FIN bit
- rsv1 (bool) RSV1 bit
- rsv2 (bool) RSV2 bit
- rsv3 (bool) RSV3 bit
- opcode (int) opcode
- data (bytes) payload data

Only these fields are needed. The MASK bit, payload length and masking-key are handled on the fly by read() and write().

check()

Check that reserved bits and opcode have acceptable values.

Raises ProtocolError – if a reserved bit or the opcode is invalid

Return type None

classmethod await read(reader, *, mask, max_size=None, extensions=None)

Read a WebSocket frame.

Parameters

- reader (Callable[[int], Awaitable[bytes]]) coroutine that reads exactly the requested number of bytes, unless the end of file is reached
- mask (bool) whether the frame should be masked i.e. whether the read happens on the server side
- max_size (Optional[int]) maximum payload size in bytes
- **extensions** (Optional[Sequence[Extension]]) list of classes with a decode () method that transforms the frame and return a new frame; extensions are applied in reverse order

Raises

- PayloadTooBig if the frame exceeds max_size
- ProtocolError if the frame contains incorrect values

Return type Frame

write (writer, *, mask, extensions=None)
Write a WebSocket frame.

Parameters

• frame - frame to write

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- writer (Callable[[bytes], Any]) function that writes bytes
- mask (bool) whether the frame should be masked i.e. whether the write happens on the client side
- **extensions** (Optional[Sequence[Extension]]) list of classes with an encode() method that transform the frame and return a new frame; extensions are applied in order

Raises *ProtocolError* – if the frame contains incorrect values

Return type None

```
websockets.framing.prepare_data(data)
```

Convert a string or byte-like object to an opcode and a bytes-like object.

This function is designed for data frames.

If data is a str, return OP_TEXT and a bytes object encoding data in UTF-8.

If data is a bytes-like object, return OP_BINARY and a bytes-like object.

Raises TypeError – if data doesn't have a supported type

Return type Tuple[int, bytes]

websockets.framing.encode_data(data)

Convert a string or byte-like object to bytes.

This function is designed for ping and pong frames.

If data is a str, return a bytes object encoding data in UTF-8.

If data is a bytes-like object, return a bytes object.

Raises TypeError - if data doesn't have a supported type

Return type bytes

websockets.framing.parse_close(data)

Parse the payload from a close frame.

Return (code, reason).

Raises

- ProtocolError if data is ill-formed
- UnicodeDecodeError if the reason isn't valid UTF-8

Return type Tuple[int, str]

websockets.framing.serialize_close(code, reason)

Serialize the payload for a close frame.

This is the reverse of parse_close().

Return type bytes

URI parser

websockets.uri parses WebSocket URIs.

See section 3 of RFC 6455.

websockets.uri.parse_uri(uri)

Parse and validate a WebSocket URI.

```
Raises ValueError – if uri isn't a valid WebSocket URI.
```

```
Return type WebSocketURI
```

```
class websockets.uri.WebSocketURI
```

WebSocket URI.

Parameters

- secure (bool) secure flag
- host (str) lower-case host
- port (int) port, always set even if it's the default
- resource_name (str) path and optional query
- user_info (str) (username, password) tuple when the URI contains User Information, else None.

Utilities

websockets. headers provides parsers and serializers for HTTP headers used in WebSocket handshake messages.

These APIs cannot be imported from websockets. They must be imported from websockets. headers.

```
\verb|websockets.headers.parse_connection| (\textit{header})
```

Parse a Connection header.

Return a list of HTTP connection options.

Parameters header (str) - value of the Connection header

Raises InvalidHeaderFormat – on invalid inputs.

```
Return type List[NewType()(ConnectionOption, str)]
```

websockets.headers.parse_upgrade(header)

Parse an Upgrade header.

Return a list of HTTP protocols.

Parameters header (str) - value of the Upgrade header

Raises InvalidHeaderFormat - on invalid inputs.

Return type List[NewType()(UpgradeProtocol, str)]

websockets.headers.parse_extension(header)

Parse a Sec-WebSocket-Extensions header.

Return a list of WebSocket extensions and their parameters in this format:

Parameter values are None when no value is provided.

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Raises InvalidHeaderFormat - on invalid inputs.

```
Return type List[Tuple[str, List[Tuple[str, Optional[str]]]]]
websockets.headers.build_extension(extensions)
     Build a Sec-WebSocket-Extensions header.
     This is the reverse of parse extension().
          Return type str
websockets.headers.parse_subprotocol(header)
     Parse a Sec-WebSocket-Protocol header.
     Return a list of WebSocket subprotocols.
          Raises InvalidHeaderFormat - on invalid inputs.
          Return type List[NewType()(Subprotocol, str)]
websockets.headers.build_subprotocol(protocols)
     Build a Sec-WebSocket-Protocol header.
     This is the reverse of parse_subprotocol().
          Return type str
websockets.headers.build_www_authenticate_basic(realm)
     Build a WWW-Authenticate header for HTTP Basic Auth.
          Parameters realm (str) – authentication realm
          Return type str
websockets.headers.parse_authorization_basic(header)
     Parse an Authorization header for HTTP Basic Auth.
     Return a (username, password) tuple.
          Parameters header (str) - value of the Authorization header
          Raises
                • InvalidHeaderFormat – on invalid inputs
                • InvalidHeaderValue - on unsupported inputs
          Return type Tuple[str, str]
websockets.headers.build authorization basic (username, password)
     Build an Authorization header for HTTP Basic Auth.
     This is the reverse of parse_authorization_basic().
          Return type str
websockets.http module provides basic HTTP/1.1 support. It is merely :adequate for WebSocket handshake
messages.
These APIs cannot be imported from websockets. They must be imported from websockets. http.
await websockets.http.read_request(stream)
     Read an HTTP/1.1 GET request and returns (path, headers).
     path isn't URL-decoded or validated in any way.
     path and headers are expected to contain only ASCII characters. Other characters are represented with
     surrogate escapes.
```

read_request() doesn't attempt to read the request body because WebSocket handshake requests don't have one. If the request contains a body, it may be read from stream after this coroutine returns.

Parameters stream (StreamReader) - input to read the request from

Raises

- **EOFError** if the connection is closed without a full HTTP request
- **SecurityError** if the request exceeds a security limit
- ValueError if the request isn't well formatted

Return type Tuple[str, Headers]

```
await websockets.http.read_response(stream)
```

Read an HTTP/1.1 response and returns (status_code, reason, headers).

reason and headers are expected to contain only ASCII characters. Other characters are represented with surrogate escapes.

read_request() doesn't attempt to read the response body because WebSocket handshake responses don't have one. If the response contains a body, it may be read from stream after this coroutine returns.

Parameters stream (StreamReader) - input to read the response from

Raises

- **EOFError** if the connection is closed without a full HTTP response
- **SecurityError** if the response exceeds a security limit
- **ValueError** if the response isn't well formatted

Return type Tuple[int, str, Headers]

class websockets.http.Headers(*args, **kwargs)

Efficient data structure for manipulating HTTP headers.

A list of (name, values) is inefficient for lookups.

A dict doesn't suffice because header names are case-insensitive and multiple occurrences of headers with the same name are possible.

Headers stores HTTP headers in a hybrid data structure to provide efficient insertions and lookups while preserving the original data.

In order to account for multiple values with minimal hassle, <code>Headers</code> follows this logic:

- When getting a header with headers [name]:
 - if there's no value, KeyError is raised;
 - if there's exactly one value, it's returned;
 - if there's more than one value, *MultipleValuesError* is raised.
- When setting a header with headers [name] = value, the value is appended to the list of values for that header.
- When deleting a header with del headers [name], all values for that header are removed (this is slow).

Other methods for manipulating headers are consistent with this logic.

As long as no header occurs multiple times, *Headers* behaves like dict, except keys are lower-cased to provide case-insensitivity.

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Two methods support support manipulating multiple values explicitly:

```
• get_all() returns a list of all values for a header;
```

```
• raw_items() returns an iterator of (name, values) pairs.
```

clear()

Remove all headers.

Return type None

```
get_all (key)
```

Return the (possibly empty) list of all values for a header.

Parameters key (str) - header name

Return type List[str]

raw_items()

Return an iterator of all values as (name, value) pairs.

Return type Iterator[Tuple[str, str]]

exception websockets.http.MultipleValuesError

Exception raised when Headers has more than one value for a key.

CHAPTER 4

Discussions

Get a deeper understanding of how websockets is built and why.

4.1 Design

This document describes the design of websockets. It assumes familiarity with the specification of the WebSocket protocol in RFC 6455.

It's primarily intended at maintainers. It may also be useful for users who wish to understand what happens under the hood.

Warning: Internals described in this document may change at any time.

Backwards compatibility is only guaranteed for public APIs.

4.1.1 Lifecycle

State

WebSocket connections go through a trivial state machine:

- CONNECTING: initial state,
- OPEN: when the opening handshake is complete,
- CLOSING: when the closing handshake is started,
- CLOSED: when the TCP connection is closed.

Transitions happen in the following places:

- CONNECTING -> OPEN: in connection_open() which runs when the *opening handshake* completes and the WebSocket connection is established not to be confused with connection_made() which runs when the TCP connection is established;
- OPEN -> CLOSING: in write_frame () immediately before sending a close frame; since receiving a close frame triggers sending a close frame, this does the right thing regardless of which side started the *closing hand-shake*; also in fail_connection() which duplicates a few lines of code from write_close_frame() and write_frame();
- * -> CLOSED: in connection_lost() which is always called exactly once when the TCP connection is closed.

Coroutines

The following diagram shows which coroutines are running at each stage of the connection lifecycle on the client side.

The lifecycle is identical on the server side, except inversion of control makes the equivalent of connect () implicit.

Coroutines shown in green are called by the application. Multiple coroutines may interact with the WebSocket connection concurrently.

Coroutines shown in gray manage the connection. When the opening handshake succeeds, connection_open() starts two tasks:

- transfer_data_task runs transfer_data() which handles incoming data and lets recv() consume it. It may be canceled to terminate the connection. It never exits with an exception other than CancelledError. See *data transfer* below.
- keepalive_ping_task runs keepalive_ping() which sends Ping frames at regular intervals and ensures that corresponding Pong frames are received. It is canceled when the connection terminates. It never exits with an exception other than CancelledError.
- close_connection_task runs close_connection() which waits for the data transfer to terminate, then takes care of closing the TCP connection. It must not be canceled. It never exits with an exception. See *connection termination* below.

Besides, fail_connection() starts the same close_connection_task when the opening handshake fails, in order to close the TCP connection.

Splitting the responsibilities between two tasks makes it easier to guarantee that websockets can terminate connections:

- within a fixed timeout,
- · without leaking pending tasks,
- without leaking open TCP connections,

regardless of whether the connection terminates normally or abnormally.

transfer_data_task completes when no more data will be received on the connection. Under normal circumstances, it exits after exchanging close frames.

close_connection_task completes when the TCP connection is closed.

4.1.2 Opening handshake

websockets performs the opening handshake when establishing a WebSocket connection. On the client side, <code>connect()</code> executes it before returning the protocol to the caller. On the server side, it's executed before passing the protocol to the ws_handler coroutine handling the connection.

While the opening handshake is asymmetrical — the client sends an HTTP Upgrade request and the server replies with an HTTP Switching Protocols response — websockets aims at keeping the implementation of both sides consistent with one another.

On the client side, handshake ():

- builds a HTTP request based on the uri and parameters passed to connect ();
- writes the HTTP request to the network;
- reads a HTTP response from the network;
- checks the HTTP response, validates extensions and subprotocol, and configures the protocol accordingly;
- moves to the OPEN state.

On the server side, handshake ():

- reads a HTTP request from the network;
- calls process_request () which may abort the WebSocket handshake and return a HTTP response instead;
 this hook only makes sense on the server side;
- checks the HTTP request, negotiates extensions and subprotocol, and configures the protocol accordingly;
- builds a HTTP response based on the above and parameters passed to serve();
- writes the HTTP response to the network;
- moves to the OPEN state;
- returns the path part of the uri.

The most significant asymmetry between the two sides of the opening handshake lies in the negotiation of extensions and, to a lesser extent, of the subprotocol. The server knows everything about both sides and decides what the parameters should be for the connection. The client merely applies them.

If anything goes wrong during the opening handshake, websockets fails the connection.

4.1.3 Data transfer

Symmetry

Once the opening handshake has completed, the WebSocket protocol enters the data transfer phase. This part is almost symmetrical. There are only two differences between a server and a client:

- client-to-server masking: the client masks outgoing frames; the server unmasks incoming frames;
- closing the TCP connection: the server closes the connection immediately; the client waits for the server to do
 it.

These differences are so minor that all the logic for data framing, for sending and receiving data and for closing the connection is implemented in the same class, <code>WebSocketCommonProtocol</code>.

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The is_client attribute tells which side a protocol instance is managing. This attribute is defined on the WebSocketServerProtocol and WebSocketClientProtocol classes.

Data flow

The following diagram shows how data flows between an application built on top of websockets and a remote endpoint. It applies regardless of which side is the server or the client.

Public methods are shown in green, private methods in yellow, and buffers in orange. Methods related to connection termination are omitted; connection termination is discussed in another section below.

Receiving data

The left side of the diagram shows how websockets receives data.

Incoming data is written to a StreamReader in order to implement flow control and provide backpressure on the TCP connection.

transfer_data_task, which is started when the WebSocket connection is established, processes this data.

When it receives data frames, it reassembles fragments and puts the resulting messages in the messages queue.

When it encounters a control frame:

- if it's a close frame, it starts the closing handshake;
- if it's a ping frame, it answers with a pong frame;
- if it's a pong frame, it acknowledges the corresponding ping (unless it's an unsolicited pong).

Running this process in a task guarantees that control frames are processed promptly. Without such a task, websockets would depend on the application to drive the connection by having exactly one coroutine awaiting recv() at any time. While this happens naturally in many use cases, it cannot be relied upon.

Then recv() fetches the next message from the messages queue, with some complexity added for handling back-pressure and termination correctly.

Sending data

The right side of the diagram shows how websockets sends data.

send() writes one or several data frames containing the message. While sending a fragmented message, concurrent calls to send() are put on hold until all fragments are sent. This makes concurrent calls safe.

ping () writes a ping frame and yields a Future which will be completed when a matching pong frame is received.

pong () writes a pong frame.

close() writes a close frame and waits for the TCP connection to terminate.

Outgoing data is written to a StreamWriter in order to implement flow control and provide backpressure from the TCP connection.

Closing handshake

When the other side of the connection initiates the closing handshake, read_message() receives a close frame while in the OPEN state. It moves to the CLOSING state, sends a close frame, and returns None, causing transfer_data_task to terminate.

When this side of the connection initiates the closing handshake with <code>close()</code>, it moves to the <code>CLOSING</code> state and sends a close frame. When the other side sends a close frame, <code>read_message()</code> receives it in the <code>CLOSING</code> state and returns <code>None</code>, also causing <code>transfer_data_task</code> to terminate.

If the other side doesn't send a close frame within the connection's close timeout, websockets fails the connection.

The closing handshake can take up to 2 * close_timeout: one close_timeout to write a close frame and one close timeout to receive a close frame.

Then websockets terminates the TCP connection.

4.1.4 Connection termination

close_connection_task, which is started when the WebSocket connection is established, is responsible for eventually closing the TCP connection.

First close_connection_task waits for transfer_data_task to terminate, which may happen as a result of:

- a successful closing handshake: as explained above, this exits the infinite loop in transfer_data_task;
- a timeout while waiting for the closing handshake to complete: this cancels transfer_data_task;
- a protocol error, including connection errors: depending on the exception, transfer_data_task *fails the connection* with a suitable code and exits.

close_connection_task is separate from transfer_data_task to make it easier to implement the timeout on the closing handshake. Canceling transfer_data_task creates no risk of canceling close_connection_task and failing to close the TCP connection, thus leaking resources.

Then close_connection_task cancels keepalive_ping. This task has no protocol compliance responsibilities. Terminating it to avoid leaking it is the only concern.

Terminating the TCP connection can take up to 2 * close_timeout on the server side and 3 * close_timeout on the client side. Clients start by waiting for the server to close the connection, hence the extra close_timeout. Then both sides go through the following steps until the TCP connection is lost: half-closing the connection (only for non-TLS connections), closing the connection, aborting the connection. At this point the connection drops regardless of what happens on the network.

4.1.5 Connection failure

If the opening handshake doesn't complete successfully, websockets fails the connection by closing the TCP connection.

Once the opening handshake has completed, websockets fails the connection by canceling transfer_data_task and sending a close frame if appropriate.

transfer data task exits, unblocking close connection task, which closes the TCP connection.

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4.1.6 Server shutdown

WebSocketServer closes asynchronously like asyncio. Server. The shutdown happen in two steps:

- 1. Stop listening and accepting new connections;
- 2. Close established connections with close code 1001 (going away) or, if the opening handshake is still in progress, with HTTP status code 503 (Service Unavailable).

The first call to close starts a task that performs this sequence. Further calls are ignored. This is the easiest way to make close and wait_closed idempotent.

4.1.7 Cancellation

User code

websockets provides a WebSocket application server. It manages connections and passes them to user-provided connection handlers. This is an *inversion of control* scenario: library code calls user code.

If a connection drops, the corresponding handler should terminate. If the server shuts down, all connection handlers must terminate. Canceling connection handlers would terminate them.

However, using cancellation for this purpose would require all connection handlers to handle it properly. For example, if a connection handler starts some tasks, it should catch CancelledError, terminate or cancel these tasks, and then re-raise the exception.

Cancellation is tricky in asyncio applications, especially when it interacts with finalization logic. In the example above, what if a handler gets interrupted with CancelledError while it's finalizing the tasks it started, after detecting that the connection dropped?

websockets considers that cancellation may only be triggered by the caller of a coroutine when it doesn't care about the results of that coroutine anymore. (Source: Guido van Rossum). Since connection handlers run arbitrary user code, websockets has no way of deciding whether that code is still doing something worth caring about.

For these reasons, websockets never cancels connection handlers. Instead it expects them to detect when the connection is closed, execute finalization logic if needed, and exit.

Conversely, cancellation isn't a concern for WebSocket clients because they don't involve inversion of control.

Library

Most *public APIs* of websockets are coroutines. They may be canceled, for example if the user starts a task that calls these coroutines and cancels the task later. websockets must handle this situation.

Cancellation during the opening handshake is handled like any other exception: the TCP connection is closed and the exception is re-raised. This can only happen on the client side. On the server side, the opening handshake is managed by websockets and nothing results in a cancellation.

Once the WebSocket connection is established, internal tasks transfer_data_task and close_connection_task mustn't get accidentally canceled if a coroutine that awaits them is canceled. In other words, they must be shielded from cancellation.

recv() waits for the next message in the queue or for transfer_data_task to terminate, whichever comes first. It relies on wait() for waiting on two futures in parallel. As a consequence, even though it's waiting on a Future signaling the next message and on transfer_data_task, it doesn't propagate cancellation to them.

ensure_open() is called by <code>send()</code>, <code>ping()</code>, and <code>pong()</code>. When the connection state is <code>CLOSING</code>, it waits for <code>transfer_data_task</code> but shields it to prevent cancellation.

close() waits for the data transfer task to terminate with wait_for(). If it's canceled or if the timeout elapses, transfer_data_task is canceled, which is correct at this point. close() then waits for close_connection_task but shields it to prevent cancellation.

close() and fail_connection() are the only places where transfer_data_task may be canceled.

close_connnection_task starts by waiting for transfer_data_task. It catches CancelledError to prevent a cancellation of transfer data task from propagating to close connnection task.

4.1.8 Backpressure

Note: This section discusses backpressure from the perspective of a server but the concept applies to clients symmetrically.

With a naive implementation, if a server receives inputs faster than it can process them, or if it generates outputs faster than it can send them, data accumulates in buffers, eventually causing the server to run out of memory and crash.

The solution to this problem is backpressure. Any part of the server that receives inputs faster than it can process them and send the outputs must propagate that information back to the previous part in the chain.

websockets is designed to make it easy to get backpressure right.

For incoming data, websockets builds upon StreamReader which propagates backpressure to its own buffer and to the TCP stream. Frames are parsed from the input stream and added to a bounded queue. If the queue fills up, parsing halts until the application reads a frame.

For outgoing data, websockets builds upon StreamWriter which implements flow control. If the output buffers grow too large, it waits until they're drained. That's why all APIs that write frames are asynchronous.

Of course, it's still possible for an application to create its own unbounded buffers and break the backpressure. Be careful with queues.

4.1.9 Buffers

Note: This section discusses buffers from the perspective of a server but it applies to clients as well.

An asynchronous systems works best when its buffers are almost always empty.

For example, if a client sends data too fast for a server, the queue of incoming messages will be constantly full. The server will always be 32 messages (by default) behind the client. This consumes memory and increases latency for no good reason. The problem is called bufferbloat.

If buffers are almost always full and that problem cannot be solved by adding capacity — typically because the system is bottlenecked by the output and constantly regulated by backpressure — reducing the size of buffers minimizes negative consequences.

By default websockets has rather high limits. You can decrease them according to your application's characteristics.

Bufferbloat can happen at every level in the stack where there is a buffer. For each connection, the receiving side contains these buffers:

- OS buffers: tuning them is an advanced optimization.
- StreamReader bytes buffer: the default limit is 64 KiB. You can set another limit by passing a read_limit keyword argument to <code>connect()</code> or <code>serve()</code>.

4.1. Design 53

• Incoming messages deque: its size depends both on the size and the number of messages it contains. By default the maximum UTF-8 encoded size is 1 MiB and the maximum number is 32. In the worst case, after UTF-8 decoding, a single message could take up to 4 MiB of memory and the overall memory consumption could reach 128 MiB. You should adjust these limits by setting the max_size and max_queue keyword arguments of connect() or serve() according to your application's requirements.

For each connection, the sending side contains these buffers:

- StreamWriter bytes buffer: the default size is 64 KiB. You can set another limit by passing a write_limit keyword argument to connect() or serve().
- OS buffers: tuning them is an advanced optimization.

4.1.10 Concurrency

Awaiting any combination of recv(), send(), close() ping(), or pong() concurrently is safe, including multiple calls to the same method, with one exception and one limitation.

- Only one coroutine can receive messages at a time. This constraint avoids non-deterministic behavior (and simplifies the implementation). If a coroutine is awaiting recv(), awaiting it again in another coroutine raises RuntimeError.
- Sending a fragmented message forces serialization. Indeed, the WebSocket protocol doesn't support multiplexing messages. If a coroutine is awaiting <code>send()</code> to send a fragmented message, awaiting it again in another coroutine waits until the first call completes. This will be transparent in many cases. It may be a concern if the fragmented message is generated slowly by an asynchronous iterator.

Receiving frames is independent from sending frames. This isolates recv(), which receives frames, from the other methods, which send frames.

While the connection is open, each frame is sent with a single write. Combined with the concurrency model of asyncio, this enforces serialization. The only other requirement is to prevent interleaving other data frames in the middle of a fragmented message.

After the connection is closed, sending a frame raises ConnectionClosed, which is safe.

4.2 Limitations

The client doesn't attempt to guarantee that there is no more than one connection to a given IP address in a CON-NECTING state.

The client doesn't support connecting through a proxy.

There is no way to fragment outgoing messages. A message is always sent in a single frame.

4.3 Security

4.3.1 Encryption

For production use, a server should require encrypted connections.

See this example of encrypting connections with TLS.

4.3.2 Memory use

Warning: An attacker who can open an arbitrary number of connections will be able to perform a denial of service by memory exhaustion. If you're concerned by denial of service attacks, you must reject suspicious connections before they reach websockets, typically in a reverse proxy.

With the default settings, opening a connection uses 325 KiB of memory.

Sending some highly compressed messages could use up to 128 MiB of memory with an amplification factor of 1000 between network traffic and memory use.

Configuring a server to *optimize memory usage* will improve security in addition to improving performance.

4.3.3 Other limits

websockets implements additional limits on the amount of data it accepts in order to minimize exposure to security vulnerabilities.

In the opening handshake, websockets limits the number of HTTP headers to 256 and the size of an individual header to 4096 bytes. These limits are 10 to 20 times larger than what's expected in standard use cases. They're hard-coded. If you need to change them, monkey-patch the constants in websockets.http.

4.3. Security 55

Project

This is about websockets-the-project rather than websockets-the-software.

5.1 Contributing

Thanks for taking the time to contribute to websockets!

5.1.1 Code of Conduct

This project and everyone participating in it is governed by the Code of Conduct. By participating, you are expected to uphold this code. Please report inappropriate behavior to aymeric DOT augustin AT fractalideas DOT com.

(If I'm the person with the inappropriate behavior, please accept my apologies. I know I can mess up. I can't expect you to tell me, but if you choose to do so, I'll do my best to handle criticism constructively. – Aymeric)

5.1.2 Contributions

Bug reports, patches and suggestions are welcome!

Please open an issue or send a pull request.

Feedback about the documentation is especially valuable — the authors of websockets feel more confident about writing code than writing docs:-)

If you're wondering why things are done in a certain way, the *design document* provides lots of details about the internals of websockets.

5.1.3 Questions

GitHub issues aren't a good medium for handling questions. There are better places to ask questions, for example Stack Overflow.

If you want to ask a question anyway, please make sure that:

- it's a question about websockets and not about asyncio;
- it isn't answered by the documentation;
- · it wasn't asked already.

A good question can be written as a suggestion to improve the documentation.

5.1.4 Bitcoin users

websockets appears to be quite popular for interfacing with Bitcoin or other cryptocurrency trackers. I'm strongly opposed to Bitcoin's carbon footprint.

Please stop heating the planet where my children are supposed to live, thanks.

Since websockets is released under an open-source license, you can use it for any purpose you like. However, I won't spend any of my time to help.

I will summarily close issues related to Bitcoin or cryptocurrency in any way.

5.2 Changelog

5.2.1 8.1

In development

5.2.2 8.0.1

• Restored the ability to import WebSocketProtocolError from websockets.

5.2.3 8.0

Warning: Version 8.0 drops compatibility with Python 3.4 and 3.5.

Note: Version 8.0 expects process_request to be a coroutine.

Previously, it could be a function or a coroutine.

If you're passing a process_request argument to <code>serve()</code> or <code>WebSocketServerProtocol</code>, or if you're overriding process_request() in a subclass, define it with async definstead of def.

For backwards compatibility, functions are still mostly supported, but mixing functions and coroutines won't work in some inheritance scenarios.

Note: Version 8.0 changes the behavior of the max_queue parameter.

If you were setting max_queue=0 to make the queue of incoming messages unbounded, change it to max_queue=None.

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Note: Version 8.0 deprecates the host, port, and secure attributes of WebSocketCommonProtocol.

Use local_address in servers and remote_address in clients instead of host and port.

Note: Version 8.0 renames the WebSocketProtocolError exception to ProtocolError.

A WebSocketProtocolError alias provides backwards compatibility.

Note: Version 8.0 adds the reason phrase to the return type of the low-level API read_response().

Also:

- send(), ping(), and pong() support bytes-like types bytearray and memoryview in addition to bytes.
- Added ConnectionClosedOK and ConnectionClosedError subclasses of ConnectionClosed to tell apart normal connection termination from errors.
- Added basic_auth_protocol_factory() to enforce HTTP Basic Auth on the server side.
- connect () handles redirects from the server during the handshake.
- connect () supports overriding host and port.
- Added unix_connect() for connecting to Unix sockets.
- Improved support for sending fragmented messages by accepting asynchronous iterators in send().
- Prevented spurious log messages about *ConnectionClosed* exceptions in keepalive ping task. If you were using ping_timeout=None as a workaround, you can remove it.
- Changed WebSocketServer.close() to perform a proper closing handshake instead of failing the connection.
- Avoided a crash when a extra_headers callable returns None.
- Improved error messages when HTTP parsing fails.
- Enabled readline in the interactive client.
- Added type hints (PEP 484).
- Added a FAQ to the documentation.
- · Added documentation for extensions.
- Documented how to optimize memory usage.
- Improved API documentation.

5.2.4 7.0

Warning: Version 7.0 renames the timeout argument of <code>serve()</code> and <code>connect()</code> to <code>close_timeout</code>

This prevents confusion with ping_timeout.

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For backwards compatibility, timeout is still supported.

Warning: Version 7.0 changes how a server terminates connections when it's closed with close().

Previously, connections handlers were canceled. Now, connections are closed with close code 1001 (going away). From the perspective of the connection handler, this is the same as if the remote endpoint was disconnecting. This removes the need to prepare for CancelledError in connection handlers.

You can restore the previous behavior by adding the following line at the beginning of connection handlers:

```
def handler(websocket, path):
    closed = asyncio.ensure_future(websocket.wait_closed())
    closed.add_done_callback(lambda task: task.cancel())
```

Note: Version 7.0 changes how a ping() that hasn't received a pong yet behaves when the connection is closed.

The ping — as in ping = await websocket.ping() — used to be canceled when the connection is closed, so that await ping raised CancelledError. Now await ping raises ConnectionClosed like other public APIs.

Note: Version 7.0 raises a RuntimeError exception if two coroutines call recv() concurrently.

Concurrent calls lead to non-deterministic behavior because there are no guarantees about which coroutine will receive which message.

Also:

- websockets sends Ping frames at regular intervals and closes the connection if it doesn't receive a matching Pong frame. See WebSocketCommonProtocol for details.
- Added process_request and select_subprotocol arguments to serve() and WebSocketServerProtocol to customize process_request() and select_subprotocol() without subclassing WebSocketServerProtocol.
- Added support for sending fragmented messages.
- Added the wait_closed() method to protocols.
- Added an interactive client: python -m websockets <uri>.
- \bullet Changed the origins argument to represent the lack of an origin with None rather than ''.
- Fixed a data loss bug in recv(): canceling it at the wrong time could result in messages being dropped.
- Improved handling of multiple HTTP headers with the same name.
- Improved error messages when a required HTTP header is missing.

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5.2.5 6.0

Warning: Version 6.0 introduces the Headers class for managing HTTP headers and changes several public APIs:

- process_request() now receives a Headers instead of a HTTPMessage in the request_headers argument.
- The request_headers and response_headers attributes of WebSocketCommonProtocol are Headers instead of HTTPMessage.
- The raw_request_headers and raw_response_headers attributes of WebSocketCommonProtocol are removed. Use raw_items() instead.
- Functions defined in the handshake module now receive *Headers* in argument instead of get_header or set_header functions. This affects libraries that rely on low-level APIs.
- Functions defined in the http module now return HTTP headers as *Headers* instead of lists of (name, value) pairs.

Since *Headers* and HTTPMessage provide similar APIs, this change won't affect most of the code dealing with HTTP headers.

Also:

• Added compatibility with Python 3.7.

5.2.6 5.0.1

• Fixed a regression in the 5.0 release that broke some invocations of serve() and connect().

5.2.7 5.0

Note: Version 5.0 fixes a security issue introduced in version 4.0.

Version 4.0 was vulnerable to denial of service by memory exhaustion because it didn't enforce max_size when decompressing compressed messages (CVE-2018-1000518).

Note: Version 5.0 adds a user_info field to the return value of parse_uri() and WebSocketURI.

If you're unpacking WebSocketURI into four variables, adjust your code to account for that fifth field.

Also:

- connect () performs HTTP Basic Auth when the URI contains credentials.
- Iterating on incoming messages no longer raises an exception when the connection terminates with close code 1001 (going away).
- A plain HTTP request now receives a 426 Upgrade Required response and doesn't log a stack trace.
- unix_serve() can be used as an asynchronous context manager on Python 3.5.1.
- Added the *closed* property to protocols.

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- If a ping () doesn't receive a pong, it's canceled when the connection is closed.
- Reported the cause of ConnectionClosed exceptions.
- Added new examples in the documentation.
- Updated documentation with new features from Python 3.6.
- Improved several other sections of the documentation.
- Fixed missing close code, which caused TypeError on connection close.
- Fixed a race condition in the closing handshake that raised <code>InvalidState</code>.
- Stopped logging stack traces when the TCP connection dies prematurely.
- Prevented writing to a closing TCP connection during unclean shutdowns.
- Made connection termination more robust to network congestion.
- Prevented processing of incoming frames after failing the connection.

5.2.8 4.0.1

• Fixed issues with the packaging of the 4.0 release.

5.2.9 4.0

Warning: Version 4.0 enables compression with the permessage-deflate extension.

In August 2017, Firefox and Chrome support it, but not Safari and IE.

Compression should improve performance but it increases RAM and CPU use.

If you want to disable compression, add compression=None when calling serve() or connect().

Warning: Version 4.0 drops compatibility with Python 3.3.

Note: Version 4.0 removes the state_name attribute of protocols.

Use protocol.state.name instead of protocol.state_name.

Also:

- WebSocketCommonProtocol instances can be used as asynchronous iterators on Python 3.6. They yield incoming messages.
- Added unix_serve() for listening on Unix sockets.
- Added the *sockets* attribute to the return value of *serve* ().
- Reorganized and extended documentation.
- Aborted connections if they don't close within the configured timeout.
- Rewrote connection termination to increase robustness in edge cases.

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- Stopped leaking pending tasks when cancel () is called on a connection while it's being closed.
- Reduced verbosity of "Failing the WebSocket connection" logs.
- Allowed extra_headers to override Server and User-Agent headers.

5.2.10 3.4

- Renamed *serve()* and *connect()*'s klass argument to create_protocol to reflect that it can also be a callable. For backwards compatibility, klass is still supported.
- serve () can be used as an asynchronous context manager on Python 3.5.1.
- Added support for customizing handling of incoming connections with <code>process_request()</code>.
- Made read and write buffer sizes configurable.
- Rewrote HTTP handling for simplicity and performance.
- Added an optional C extension to speed up low-level operations.
- An invalid response status code during connect () now raises InvalidStatusCode with a code attribute.
- Providing a sock argument to connect () no longer crashes.

5.2.11 3.3

- Ensured compatibility with Python 3.6.
- Reduced noise in logs caused by connection resets.
- Avoided crashing on concurrent writes on slow connections.

5.2.12 3.2

- Added timeout, max_size, and max_queue arguments to connect() and serve().
- Made server shutdown more robust.

5.2.13 3.1

- Avoided a warning when closing a connection before the opening handshake.
- · Added flow control for incoming data.

5.2.14 3.0

Warning: Version 3.0 introduces a backwards-incompatible change in the recv () API.

If you're upgrading from 2.x or earlier, please read this carefully.

recv() used to return None when the connection was closed. This required checking the return value of every call:

```
message = await websocket.recv()
if message is None:
return
```

5.2. Changelog 63

Now it raises a ConnectionClosed exception instead. This is more Pythonic. The previous code can be simplified to:

```
message = await websocket.recv()
```

When implementing a server, which is the more popular use case, there's no strong reason to handle such exceptions. Let them bubble up, terminate the handler coroutine, and the server will simply ignore them.

In order to avoid stranding projects built upon an earlier version, the previous behavior can be restored by passing legacy_recv=True to serve(), connect(), WebSocketServerProtocol, or WebSocketClientProtocol. legacy_recv isn't documented in their signatures but isn't scheduled for deprecation either.

Also:

- connect () can be used as an asynchronous context manager on Python 3.5.1.
- Updated documentation with await and async syntax from Python 3.5.
- ping() and pong() support data passed as str in addition to bytes.
- · Worked around an asyncio bug affecting connection termination under load.
- Made state_name attribute on protocols a public API.
- · Improved documentation.

5.2.15 2.7

- Added compatibility with Python 3.5.
- · Refreshed documentation.

5.2.16 2.6

- Added local_address and remote_address attributes on protocols.
- Closed open connections with code 1001 when a server shuts down.
- Avoided TCP fragmentation of small frames.

5.2.17 2.5

- Improved documentation.
- Provided access to handshake request and response HTTP headers.
- Allowed customizing handshake request and response HTTP headers.
- Supported running on a non-default event loop.
- Returned a 403 status code instead of 400 when the request Origin isn't allowed.
- Canceling recv() no longer drops the next message.
- Clarified that the closing handshake can be initiated by the client.
- Set the close code and reason more consistently.
- Strengthened connection termination by simplifying the implementation.

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• Improved tests, added tox configuration, and enforced 100% branch coverage.

5.2.18 2.4

- Added support for subprotocols.
- Supported non-default event loop.
- Added loop argument to connect () and serve ().

5.2.19 2.3

• Improved compliance of close codes.

5.2.20 2.2

• Added support for limiting message size.

5.2.21 2.1

- Added host, port and secure attributes on protocols.
- Added support for providing and checking Origin.

5.2.22 2.0

Warning: Version 2.0 introduces a backwards-incompatible change in the send(), ping(), and pong() APIs.

If you're upgrading from 1.x or earlier, please read this carefully.

These APIs used to be functions. Now they're coroutines.

Instead of:

websocket.send(message)

you must now write:

await websocket.send(message)

Also:

• Added flow control for outgoing data.

5.2.23 1.0

• Initial public release.

5.2. Changelog 65

5.3 License

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