
xy_python_utils Documentation

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Python utilities by Ying Xiong.

Getting Started

Install this package in development mode:

```
python setup.py develop
```

Run unit tests:

```
cd xy_python_utils
python -m unittest discover -p "*_test.py"
cd ..
```

Generate documentation:

```
cd docs
make html
cd ..
```

Image Utilities

Matplotlib Utilities

`matplotlib_utils.axes_equal_3d` (*ax=None*)

Mimic Matlab's *axis equal* command. The matplotlib's command *ax.set_aspect("equal")* only works for 2D plots, but not for 3D plots (those generated with *projection="3d"*).

Parameters *ax*: axes, optional

The axes whose x,y,z axis to be equalized. If not specified, default to *plt.gca()*.

`matplotlib_utils.draw_with_fixed_lims` (*ax, draw_fcn*)

Perform plot without changing the *xlims* and *ylims* of the axes.

Save the *xlim* and *ylim* of *ax* before a drawing action, and restore them after the drawing. This is typically useful when one first does an *imshow* and then makes some annotation with *plot*, which will change the limits if not using this function.

`matplotlib_utils.imshowinfo` (*ax=None, image=None*)

Mimic Matlab's *imshowinfo* function that shows the image pixel information as the cursor swipes through the figure.

Parameters *ax*: axes

The axes that tracks cursor movement and prints pixel information. We require the *ax.images* list to be non-empty, and if more than one images present in that list, we examine the last (newest) one. If not specified, default to *'plt.gca()'*.

image: ndarray

If specified, use this *image*'s pixel instead of *ax.images[-1]*'s. The replacement *image* must have the same dimension as *ax.images[-1]*, and we will still be using the *extent* of the latter when tracking cursor movement.

Returns None

`matplotlib_utils.imshow` (*volume, fps=20, ax=None, **kw*)

Play a sequence of image in *volume* as a video.

Parameters *volume*: ndarray

The video volume to be played. Its size can be either *MxNxK* (for single-channel image per frame) or *MxNxCxK* (for multi-channel image per frame).

fps: int, optional

The frame rate of the video.

ax: axes, optional

The axes in which the video to be played. If not specified, default to *plt.gca()*.

****kw: key-value pairs**

Other parameters to be passed to `ax.imshow`, e.g. `cmap="gray"`, `vmin=0`, `vmax=1`, etc.

`matplotlib_utils.imshow(ax, img, xlim=None, ylim=None, **kw)`

Enhance `ax.imshow` with coordinate limits.

Parameters ax: axes

The axes in which an image will be drawn.

img: ndarray

The 2D image to be drawn.

xlim, ylim: 2-tuple, optional

This will set the *extent* parameter of `ax.imshow`, which is relatively inconvenient to set directly because of the half-pixel issue. Default: `(0, num_cols-1)`, `(0, num_rows-1)`.

****kw: key-value pairs**

Other parameters to be passed to `ax.imshow`. The *extent* will be ignored if presented.

Returns The `AxesImage` returned by `ax.imshow`.

`matplotlib_utils.tight_subplot(num_rows, num_cols, plot_index, gap=0.01, marg_h=0.01, marg_w=0.01, fig=None)`

Add a tight subplot axis to the current (or a given) figure.

Parameters num_rows, num_cols: int

Number of rows / columns.

plot_index: int

The index to the subplot.

gap: float between (0,1), optional

The gap between axes, scalar or 2-tuple (*gap_h*, *gap_w*).

marg_h: float between (0,1), optional

The margins in height, scalar or 2-tuple (*lower*, *upper*).

marg_w: float between (0,1), optional

The margins in width, scalar or 2-tuple (*left*, *right*).

fig: Figure, optional

Figure to which the new axes to be added to. Default to `plt.gcf()` if not specified.

Returns The newly added axes.

Numerical Differentiation

`numerical_differentiation.numerical_jacobian` (*fcn*, *x0*, *dx=1e-06*, *method=0*, *return_f0=False*)

Compute the numerical Jacobian matrix of a given function.

Parameters **fcn: function handle**

Takes an N-vector as input and return an M-vector.

x0: ndarray

An input N-vector.

dx: scalar

For small change in x0.

method: int or string

With following options:

- 0, 'forward': compute the Jacobian as $(f(x_0+dx)-f(x_0))/dx$.
- 1, 'central': compute the Jacobian as $(f(x_0+dx)-f(x_0-dx))/2/dx$.

return_f0: boolean

If set to true, also return `fcn(x0)`.

Returns **J : ndarray**

The $M \times N$ Jacobian matrix.

f0 : ndarray

The function value at x0.

Examples

```
>>> J = numerical_jacobian(fcn, x0, ...)
>>> (J, f0) = numerical_jacobian(fcn, x0, ..., return_f0=True)
```

Numpy Utilities

Some extended utility functions for ‘numpy’ module.

`numpy_utils.meshgrid_nd(*args)`
Multi-dimensional meshgrid.

Parameters `x, y, z, ...: ndarray`

Multiple 1-D arrays representing the coordinates of the grid.

Returns `X, Y, Z, ... : ndarray`

Multi-dimensional arrays of shape $(\text{len}(x), \text{len}(y), \text{len}(z), \dots)$. Note that there is a discrepancy to the original 2D meshgrid, where the output array shape is swapped, i.e. $(\text{len}(y), \text{len}(x))$. Specifically, if:

```
X, Y = meshgrid(x, y)
X2, Y2 = meshgrid_nd(x, y)
```

then we have $X == X2.T$ and $Y == Y2.T$.

Examples

```
>>> X, Y, Z = np.meshgrid([1,2,3], [10,20], [-2,-3,-4,-5])
>>> X
array([[[1, 1, 1, 1],
        [1, 1, 1, 1]],
       [[2, 2, 2, 2],
        [2, 2, 2, 2]],
       [[3, 3, 3, 3],
        [3, 3, 3, 3]]])
>>> Y
array([[[10, 10, 10, 10],
        [20, 20, 20, 20]],
       [[10, 10, 10, 10],
        [20, 20, 20, 20]],
       [[10, 10, 10, 10],
        [20, 20, 20, 20]]])
>>> Z
array([[[ -2, -3, -4, -5],
        [-2, -3, -4, -5]],
       [[ -2, -3, -4, -5],
        [-2, -3, -4, -5]],
       [[ -2, -3, -4, -5],
        [-2, -3, -4, -5]]])
```

```
[[ -2,  -3,  -4,  -5],  
 [ -2,  -3,  -4,  -5]])
```

`numpy_utils.null(A, tol=1e-12)`

Return the null space of matrix or vector A , such that:

`dot(A, null(A)) == eps(M, N)`

Each column r of $null(A)$ is a unit vector, and $||dot(A, r)|| < tol$.

OS Utilities

Some extended utility functions for 'os' module.

`os_utils.cp_r(src, dst)`

Same effect as the unix command 'cp -r src dst', supporting the followings:

- 1.`cp_r("/path/to/src_file", "/path/to/dst_file")`: The 'src_file' is a single file, and 'dst_file' is created or overwritten if already exists.
- 2.`cp_r("/path/to/src_folder", "/path/to/dst_folder")`: The 'dst_folder' is a single folder, and 'dst_folder' will be created if not already exists, otherwise a "/path/to/dst_folder/src_folder" will be created.
- 3.`cp_r("/path/to/src", "/path/to/dst_folder")`: The 'src' can be either a file or a folder, and can contain wildcard characters (e.g. '*'), and the 'dst_folder' must already exist.
- 4.`cp_r(["/path/to/src1", "/path/to/src2", ...], "/path/to/dst_folder")`: The 'src' can be anything as the previous syntax, and the first argument can be either list or tuple. The 'dst_folder' must already exist.

`os_utils.mkdir_p(path, mode=511)`

Create a leaf directory 'path' and all intermediate ones.

No error will be reported if the directory already exists. Same effect as the unix command 'mkdir -p path'.

`os_utils.rm_rf(path)`

Remove a file or a directory, recursively.

No error will be reported if 'path' does not exist. The 'path' can be a list or tuple. Same effect as the unix command 'rm -rf path'.

Quaternion

Definition

A quaternion \mathbf{q} is represented as a 4-tuple (a, b, c, d) , with basis $\{1, i, j, k\}$ written as

$$\mathbf{q} = (a, b, c, d) = a + b i + c j + d k. \quad (7.1)$$

The basis elements have multiplication property

$$\begin{aligned} i^2 = j^2 = k^2 = ijk = -1, \\ ij = k, \quad jk = i, \quad ki = j, \\ ji = -k, \quad kj = -i, \quad ik = -j. \end{aligned}$$

The *Hamilton product* of two general quaternion is

$$\begin{aligned} & (a_1, b_1, c_1, d_1)(a_2, b_2, c_2, d_2) \\ &= (a_1 a_2 - b_1 b_2 - c_1 c_2 - d_1 d_2, \\ & \quad a_1 b_2 + b_1 a_2 + c_1 d_2 - d_1 c_2, \\ & \quad a_1 c_2 - b_1 d_2 + c_1 a_2 + d_1 b_2, \\ & \quad a_1 d_2 + b_1 c_2 - c_1 b_2 + d_1 a_2). \end{aligned} \quad (7.2)$$

A quaternion can be divided into a *scalar part* and a *vector part*

$$\mathbf{q} = (r, \mathbf{v}), \quad \text{with } r \in \mathbb{R}, \mathbf{v} \in \mathbb{R}^3.$$

We also consider scalar r and 3-vector \mathbf{v} as special forms of quaternion

$$\mathbf{q}_r = (r, \mathbf{0}), \quad \mathbf{q}_\mathbf{v} = (0, \mathbf{v}),$$

and write \mathbf{q}_r and r ($\mathbf{q}_\mathbf{v}$ and \mathbf{v}) interchangeably in this note.

For quaternion \mathbf{q} defined in (7.1), its *conjugate* is

$$\mathbf{q}^* = a - b i - c j - d k,$$

its *norm* is

$$\|\mathbf{q}\| = \sqrt{\mathbf{q}\mathbf{q}^*} = \sqrt{\mathbf{q}^*\mathbf{q}} = \sqrt{a^2 + b^2 + c^2 + d^2}, \quad (7.3)$$

and its *reciprocal* is

$$\mathbf{q}^{-1} = \frac{\mathbf{q}^*}{\|\mathbf{q}\|^2}, \quad \mathbf{q}\mathbf{q}^{-1} = \mathbf{q}^{-1}\mathbf{q} = 1. \quad (7.4)$$

Note that the multiplications in (7.3) and (7.4) are Hamilton product defined in (7.2).

Spatial Rotation

Given a unit vector $\hat{\mathbf{u}} = (u_x, u_y, u_z)$ with a scalar angle θ , we define quaternion

$$\mathbf{q} = \exp\left(\frac{\theta}{2}(u_x\mathbf{i} + u_y\mathbf{j} + u_z\mathbf{k})\right) = \cos\left(\frac{\theta}{2}\right) + \sin\left(\frac{\theta}{2}(u_x\mathbf{i} + u_y\mathbf{j} + u_z\mathbf{k})\right)$$

then for any given vector \mathbf{p} , its rotation across axis $\hat{\mathbf{u}}$ for angle θ is

$$\mathbf{p}' = \mathbf{q}\mathbf{p}\mathbf{q}^{-1},$$

using Hamilton product (7.2). Note that both \mathbf{q} and $-\mathbf{q}$ performs the same rotation.

Conversion between rotation matrices

Given a unit quaternion $\mathbf{q} = (a, b, c, d)$, it can be converted to a rotation matrix as

$$\mathbf{R} = \begin{bmatrix} 1 - 2c^2 - 2d^2 & 2bc - 2ad & 2bd + 2ac \\ 2bc + 2ad & 1 - 2b^2 - 2d^2 & 2cd - 2ab \\ 2bd - 2ac & 2cd + 2ab & 1 - 2b^2 - 2c^2 \end{bmatrix}$$

To convert from a rotation matrix \mathbf{R} to a quaternion,

$$\mathbf{q} = \left(\frac{1}{2}\sqrt{R_{11} + R_{22} + R_{33} + 1}, \right. \\ \frac{1}{2}\sqrt{R_{11} - R_{22} - R_{33} + 1} \operatorname{sign}(R_{32} - R_{23}), \\ \frac{1}{2}\sqrt{-R_{11} + R_{22} - R_{33} + 1} \operatorname{sign}(R_{13} - R_{31}), \\ \left. \frac{1}{2}\sqrt{-R_{11} - R_{22} + R_{33} + 1} \operatorname{sign}(R_{21} - R_{12}) \right).$$

This conversion can be implemented with a single square root, but one needs to take special care on numerical stability when doing so.

API References

Utility functions for quaternion and spatial rotation.

A quaternion is represented by a 4-vector q as:

$$q = q[0] + q[1]*i + q[2]*j + q[3]*k.$$

The validity of input to the utility functions are not explicitly checked for efficiency reasons.

Abbr.	Meaning
quat	Quaternion, 4-vector.
vec	Vector, 3-vector.
ax, axis	Axis, 3- unit vector.
ang	Angle, in unit of radian.
rot	Rotation.
rotMatx	Rotation matrix, 3x3 orthogonal matrix.
HProd	Hamilton product.
conj	Conjugate.
recip	Reciprocal.

`quaternion.quatConj(q)`

Return the conjugate of quaternion q .

`quaternion.quatFromAxisAng(ax, theta)`

Get a quaternion that performs the rotation around axis ax for angle $theta$, given as:

$q = (r, v) = (\cos(\theta/2), \sin(\theta/2)*ax)$.

Note that the input ax needs to be a 3x1 unit vector.

`quaternion.quatFromRotMatx(R)`

Get a quaternion from a given rotation matrix R .

`quaternion.quatHProd(p, q)`

Compute the Hamilton product of quaternions p and q .

`quaternion.quatRecip(q)`

Compute the reciprocal of quaternion q .

`quaternion.quatToRotMatx(q)`

Get a rotation matrix from the given unit quaternion q .

`quaternion.rotVecByAxisAng(u, ax, theta)`

Rotate the 3-vector u around axis ax for angle $theta$ (radians), counter-clockwisely when looking at inverse axis direction. Note that the input ax needs to be a 3x1 unit vector.

`quaternion.rotVecByQuat(u, q)`

Rotate a 3-vector u according to the quaternion q . The output v is also a 3-vector such that:

$[0; v] = q * [0; u] * q^{-1}$

with Hamilton product.

Unittest Utilities

Utility functions for unit test.

`unittest_utils.check_gradient` (*fcn*, *dfcn*, *N*, *x0=None*, *dx=None*, *delta=0.0001*, *m=0.01*, *M=10*,
raise_exception=True)

Numerically check whether *dfcn* calculates the gradient of *fcn*.

More specifically, this function checks whether the following quantities are close to each other

- $f(x) - f(x_0)$
- $(x - x_0) \cdot dfcn(x_0)$

We consider them to be close enough if **either one** of the following is true

1. the absolute difference is smaller than $(m * \|x - x_0\|)$;
2. the relative difference is smaller than $(M * \|x - x_0\|)$.

Parameters **fcn: function handler**

Takes a single (vector or scalar) as input and outputs a scalar.

dfcn: function handler

Takes a single (vector or scalar) as input and outputs a vector output for gradient of 'fcn'. NOTE: Another option is to let *dfcn=None* (or something else that is not callable, e.g. []), and *fcn* return a 2-tuple for both function value and its gradient.

N: int

The dimensionality of input to the function, which is a Nx1 vector.

x0:

The initial input point evaluated by the function, with default {randn(N)}.

dx, delta:

The direction of evaluation point moves, such that:

$$x = x_0 + \text{delta} * dx$$

with 'dx' a unit Nx1 vector and 'delta' a scalar.

m, M: float, optional

The thresholds described above.

`unittest_utils.check_jacobian` (*fcn*, *dfcn*, *N*, *x0=None*, *dx=None*, *delta=0.0001*, *m=0.01*, *M=10*,
raise_exception=True)

Numerically check whether *dfcn* calculates the Jacobian of *fcn*.

More specifically, whether the following vectors are close to each other

- $f(x) - f(x_0)$
- $J(x_0) \cdot (x - x_0)$

We consider them to be close enough if **either one** of the following is true

1. “absolutely” close with tolerance $m \cdot \|x - x_0\|$ (see *check_near_abs*);
2. “relatively” close with tolerance $M \cdot \|x - x_0\|$ (see *check_near_rel*).

Parameters *fcn*: function handler

Takes a single (vector or scalar) as input and outputs a vector.

dfcn: function handler

Takes a single (vector or scalar) as input and outputs a matrix for Jacobian of *fcn*. NOTE: Another option is to let *dfcn=None* (or something else that is not callable, e.g. *[]*), and *fcn* return a 2-tuple for both function value and its Jacobian.

The rest is the same as ‘check_gradient’.

`unittest_utils.check_near` (*v1*, *v2*, *tol*, *raise_exception=True*)

Check whether scalar/vector/matrix ‘*v1*’ and ‘*v2*’ are close to each other under tolerance *tol*, in the sense that:

```
(absolute)    ||v1 - v2|| <= tol,    **or**  
(relative)    ||v1 - v2|| / max(||v1||, ||v2||, eps) <= tol,
```

where $\| \cdot \|$ is the Frobenius norm.

`unittest_utils.check_near_abs` (*v1*, *v2*, *tol*, *raise_exception=True*)

Same as ‘check_near’ but only check in the “absolute” sense.

`unittest_utils.check_near_rel` (*v1*, *v2*, *tol*, *raise_exception=True*)

Same as ‘check_near’ but only check in the “relative” sense.

General Utilities

Some general utility classes and functions.

class `utils.Range` (*start*, *stop=None*, *step=None*)

A range of numbers from *start* (inclusive) to *end* (exclusive) with a given *step*. This class is similar to the *range* built-in in python3, but also supports floating point parameters.

Note the rounding effect when using floating point parameters. The suggested way is to pad an *epsilon* at the stop point:

```
Range(1.5, 1.8001, 0.3)    # 1.8 will be included.
Range(1.5, 1.7999, 0.3)    # 1.5 will be excluded.
Range(1.5, 1.8, 0.3)       # 1.8 should be excluded, but might not be
                           # because of rounding effect. Avoid this.
```

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