
pyRVEA

Release 0.1

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**CHAPTER
ONE**

README

Binder

The python version reference vector guided evolutionary algorithm.

Currently supported: Multi-objective minimization with visualization and interaction support. Preference is accepted as a reference point.

To test the code, open the [binder link](#) and read example.ipynb.

Read the documentation here

1.1 Requirements:

- Python 3.6 or up
- Poetry dependency manager

1.2 Installation process:

- Download and extract the code
- Create a new virtual environment for the project
- Run `poetry install --no-dev` in the activated virtual environment to install the packages necessary to run the code.
- If you want to take part in the development process, run `poetry install` instead.

1.3 See the details of RVEA in the following paper

R. Cheng, Y. Jin, M. Olhofer and B. Sendhoff, A Reference Vector Guided Evolutionary Algorithm for Many-objective Optimization, IEEE Transactions on Evolutionary Computation, 2016

The source code of pyRVEA is implemented by Bhupinder Saini

If you have any questions about the code, please contact:

Bhupinder Saini: bhupinder.s.saini@jyu.fi Project researcher at University of Jyväskylä.

PYRVEA PACKAGE

2.1 pyRVEA.EAs

2.1.1 pyRVEA.EAs.NSGAIII module

```
class pyRVEA.EAs.NSGAIII(population: Population, EA_parameters: dict = None)
    Bases: pyRVEA.EAs.baseEA.BaseDecompositionEA

    Python Implementation of NSGA-III. Based on the pymoo package.

    [description]

    select(population: Population)
        Describe a selection mechanism. Return indices of selected individuals.

        Parameters population (Population) – Contains the current population and problem information.

        Returns List of indices of individuals to be selected.

        Return type list

    set_params(population: Population = None, population_size: int = None, lattice_resolution:
              int = None, interact: bool = True, a_priori_preference: bool = False, generations_per_iteration: int = 100, iterations: int = 10, plotting: bool = True)
        Set up the parameters. Save in self.params
```

2.1.2 pyRVEA.EAs.RVEA module

```
class pyRVEA.EAs.RVEA(population: Population, EA_parameters: dict = None)
    Bases: pyRVEA.EAs.baseEA.BaseDecompositionEA
```

The python version reference vector guided evolutionary algorithm.

See the details of RVEA in the following paper

R. Cheng, Y. Jin, M. Olhofer and B. Sendhoff, A Reference Vector Guided Evolutionary Algorithm for Many-objective Optimization, IEEE Transactions on Evolutionary Computation, 2016

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Project researcher at University of Jyväskylä.

select (*population*: Population)

Describe a selection mechanism. Return indices of selected individuals.

APD Based selection. # This is different from the paper. # params.genetations != total number of generations. This is a compromise. Also this APD uses an archived ideal point, rather than current, potentially worse ideal point.

Parameters **population** (Population) – Population information**Returns** list: Indices of selected individuals.**Return type** list**set_params** (*population*: Population = None, *population_size*: int = None, *lattice_resolution*: int = None, *interact*: bool = False, *a_priori_preference*: bool = False, *generations_per_iteration*: int = 100, *iterations*: int = 10, *Alpha*: float = 2, *plotting*: bool = True)

Set up the parameters. Save in RVEA.params. Note, this should be changed to align with the current structure.

Parameters

- **population** (Population) – Population object
- **population_size** (int) – Population Size
- **lattice_resolution** (int) – Lattice resolution
- **interact** (bool) – bool to enable or disable interaction. Enabled if True
- **a_priori_preference** (bool) – similar to interact
- **generations_per_iteration** (int) – Number of generations per iteration.
- **iterations** (int) – Total Number of iterations.
- **Alpha** (float) – The alpha parameter of APD selection.
- **plotting** (bool) – Useless really.

2.1.3 pyRVEA.EAs.baseEA module

class pyRVEA.EAs.baseEA.**BaseDecompositionEA** (*population*: Population, *EA_parameters*: dict = None)Bases: *pyRVEA.EAs.baseEA.BaseEA*

This class provides the basic structure for decomposition based Evolutionary algorithms, such as RVEA or NSGA-III.

continue_evolution () → bool

Checks whether the current iteration should be continued or not.

continue_iteration ()

Checks whether the current iteration should be continued or not.

select (*population*) → list

Describe a selection mechanism. Return indices of selected individuals.

Parameters **population** (Population) – Contains the current population and problem information.**Returns** List of indices of individuals to be selected.**Return type** list

```
class pyRVEA.EAs.baseEA.BaseEA
```

Bases: object

This class provides the basic structure for Evolutionary algorithms.

```
set_params()
```

Set up the parameters. Save in self.params

2.2 pyRVEA.OtherTools

2.2.1 pyRVEA.OtherTools.IsNotebook module

```
pyRVEA.OtherTools.IsNotebook.IsNotebook() → bool
```

Checks if the current environment is a Jupyter Notebook or a console.

Returns True if notebook. False if console

Return type bool

2.2.2 pyRVEA.OtherTools.ReferenceVectors module

```
class pyRVEA.OtherTools.ReferenceVectors.ReferenceVectors(lattice_resolution: int,  
number_of_objectives: int, creation_type: str =  
'Uniform', vector_type:  
str = 'Spherical',  
ref_point: list = None)
```

Bases: object

Class object for reference vectors.

```
adapt(fitness: numpy.ndarray)
```

Adapt reference vectors. Then normalize.

Parameters **fitness** (np.ndarray) –

```
add_edge_vectors()
```

Add edge vectors to the list of reference vectors.

Used to cover the entire orthant when preference information is provided.

```
interactive_adapt_1(ref_point, translation_param=0.2)
```

Adapt reference vectors linearly towards a reference point. Then normalize.

The details can be found in the following paper: Hakanen, Jussi & Chugh, Tinkle & Sindhya, Karthik & Jin, Yaochu & Miettinen, Kaisa. (2016). Connections of Reference Vectors and Different Types of Preference Information in Interactive Multiobjective Evolutionary Algorithms.

Parameters

- **ref_point** –

- **translation_param** – (Default value = 0.2)

```
neighbouring_angles() → numpy.ndarray
```

Calculate neighbouring angles for normalization.

```
normalize()
```

Normalize the reference vectors to a unit hypersphere.

slow_interactive_adapt (*ref_point*)

Basically a wrapper around rotate_toward. Slowly rotate ref vectors toward ref_point. Return a boolean value to tell if the ref_point has been reached.

Parameters **ref_point** (*list or np.ndarray*) – The reference vectors will slowly move towards the ref_point.

Returns True if ref_point has been reached. False otherwise.

Return type boolean

pyRVEA.OtherTools.ReferenceVectors.**householder** (*vector*)

Return reflection matrix via householder transformation.

pyRVEA.OtherTools.ReferenceVectors.**normalize** (*vectors*)

Normalize a set of vectors.

The length of the returned vectors will be unity.

Parameters **vectors** (*np.ndarray*) – Set of vectors of any length, except zero.

pyRVEA.OtherTools.ReferenceVectors.**rotate** (*initial_vector, rotated_vector, other_vectors*)

Calculate the rotation matrix that rotates the initial_vector to the rotated_vector. Apply that rotation on other_vectors and return. Uses Householder reflections twice to achieve this.

pyRVEA.OtherTools.ReferenceVectors.**rotate_toward** (*initial_vector, final_vector, other_vectors, degrees: float = 5*)

Rotate other_vectors (with the centre at initial_vector) towards final_vector by an angle degrees.

Parameters

- **initial_vector** (*np.ndarray*) – Centre of the vectors to be rotated.
- **final_vector** (*np.ndarray*) – The final position of the center of other_vectors.
- **other_vectors** (*np.ndarray*) – The array of vectors to be rotated
- **degrees** (*float, optional*) – The amount of rotation (the default is 5)

Returns

- **rotated_vectors** (*np.ndarray*) – The rotated vectors
- **reached** (*bool*) – True if final_vector has been reached

pyRVEA.OtherTools.ReferenceVectors.**shear** (*vectors, degrees: float = 5*)

Shear a set of vectors lying on the plane z=0 towards the z-axis, such that the resulting vectors ‘degrees’ angle away from the z axis.

z is the last element of the vector, and has to be equal to zero.

Parameters

- **vectors** (*numpy.ndarray*) – The final element of each vector should be zero.
- **degrees** (*float, optional*) – The angle that the resultant vectors make with the z axis. Unit is radians. (the default is 5)

2.2.3 pyRVEA.OtherTools.newRV module

2.2.4 pyRVEA.OtherTools.plotlyanimate module

```
pyRVEA.OtherTools.plotlyanimate.animate_2d_init_(data: Union[numpy.ndarray, pandas.core.frame.DataFrame, list], filename: str) → dict
```

Initiate a 2D scatter animation.

Only for 2D data.

Parameters

- **data** (*Union[np.ndarray, pd.DataFrame, list]*) – Objective values
- **filename** (*str*) – Name of the file to which plot is saved

Returns Plotly Figure Object

Return type dict

```
pyRVEA.OtherTools.plotlyanimate.animate_2d_next_(data: Union[numpy.ndarray, pandas.core.frame.DataFrame, list], figure: dict, filename: str, generation: int) → dict
```

Plot the next set of individuals in a 2D scatter animation.

Parameters

- **data** (*Union[np.ndarray, pd.DataFrame, list]*) – The objective values to be plotted
- **figure** (*dict*) – Plotly figure object compatible dict
- **filename** (*str*) – Name of the file to which the plot is saved
- **generation** (*int*) – Iteration Number

Returns Plotly Figure Object

Return type dict

```
pyRVEA.OtherTools.plotlyanimate.animate_3d_init_(data: Union[numpy.ndarray, pandas.core.frame.DataFrame, list], filename: str) → dict
```

Plot the first (or zeroth) iteration of a population.

Intended as a frames object. Plots Scatter 3D data.

Parameters

- **data** (*Union[np.ndarray, pd.DataFrame, list]*) – Contains the data to be plotted. Each row is an individual's objective values.
- **filename** (*str*) – Contains the name of the file to which the plot is saved.

Returns Plotly figure object

Return type dict

```
pyRVEA.OtherTools.plotlyanimate.animate_3d_next_(data: Union[numpy.ndarray, pandas.core.frame.DataFrame, list], figure: dict, filename: str, generation: int) → dict
```

Plot the next set of individuals in an animation.

Plots scatter for 3D data.

Parameters

- **data** (*Union[np.ndarray, pd.DataFrame, list]*) – The objective values to be plotted
- **figure** (*dict*) – Plotly figure object compatible dict
- **filename** (*str*) – Name of the file to which the plot is saved
- **generation** (*int*) – Iteration Number

Returns Plotly Figure Object

Return type dict

```
pyRVEA.OtherTools.plotlyanimate.animate_init_(data: Union[numpy.ndarray, pandas.core.frame.DataFrame, list], filename: str) → dict
```

Plot the first (or zeroth) iteration of a population.

Intended as a frames object. Plots Scatter for 2D and 3D data. Plots parallel coordinate plot for higher dimensional data.

Parameters

- **data** (*Union[np.ndarray, pd.DataFrame, list]*) – Contains the data to be plotted. Each row is an individual's objective values.
- **filename** (*str*) – Contains the name of the file to which the plot is saved.

Returns Plotly figure object

Return type dict

```
pyRVEA.OtherTools.plotlyanimate.animate_next_(data: Union[numpy.ndarray, pandas.core.frame.DataFrame, list], figure: dict, filename: str, generation: int) → dict
```

Plot the next set of individuals in an animation.

Plots scatter for 2D and 3D data, parallel coordinate plot for 4D and up.

Parameters

- **data** (*Union[np.ndarray, pd.DataFrame, list]*) – The objective values to be plotted
- **figure** (*dict*) – Plotly figure object compatible dict
- **filename** (*str*) – Name of the file to which the plot is saved
- **generation** (*int*) – Iteration Number

Returns Plotly Figure Object

Return type dict

```
pyRVEA.OtherTools.plotlyanimate.animate_parallel_coords_init_(data: Union[numpy.ndarray, pandas.core.frame.DataFrame, list], filename: str) → dict
```

Plot the first (or zeroth) iteration of a population.

Intended as a frames object. Plots parallel coordinate plot for >3D data.

Parameters

- **data** (*Union[np.ndarray, pd.DataFrame, list]*) – Contains the data to be plotted. Each row is an individual's objective values.
- **filename** (*str*) – Contains the name of the file to which the plot is saved.

Returns

Plotly figure object

Return type

dict

```
pyRVEA.OtherTools.plotlyanimate.animate_parallel_coords_next_(data:  
                                         Union[numpy.ndarray,  
pan-  
das.core.frame.DataFrame,  
list], figure: dict,  
filename: str,  
generation: int)  
→ dict
```

Plot the next set of individuals in an animation.

Plots parallel coordinate plot for 4D and up.

Parameters

- **data** (*Union[np.ndarray, pd.DataFrame, list]*) – The objective values to be plotted
- **figure** (*dict*) – Plotly figure object compatible dict
- **filename** (*str*) – Name of the file to which the plot is saved
- **generation** (*int*) – Iteration Number

Returns

Plotly Figure Object

Return type

dict

```
pyRVEA.OtherTools.plotlyanimate.test()  
pyRVEA.OtherTools.plotlyanimate.test2()
```

2.2.5 pyRVEA.OtherTools.symmetric_vectors module

2.3 pyRVEA.Population

2.3.1 pyRVEA.Population.Population module

```
class pyRVEA.Population.Population.Population(problem: baseProblem, assign_type: str  
                                               = 'RandomAssign', plotting: bool = True,  
                                               *args)
```

Bases: object

Define the population.

```
add(new_pop: numpy.ndarray)
```

Evaluate and add individuals to the population. Update ideal and nadir point.

Parameters **new_pop** (*np.ndarray*) – Decision variable values for new population.

append_individual (*ind: numpy.ndarray*)

Evaluate and add individual to the population.

Parameters *ind (np.ndarray)* –**create_new_individuals** (*design: str = 'LHSDesign', pop_size: int = None, decision_variables=None*)

Create, evaluate and add new individuals to the population. Initiate Plots.

The individuals can be created randomly, by LHS design, or can be passed by the user.

Parameters

- **design** (*str, optional*) – Describe the method of creation of new individuals. “RandomDesign” creates individuals randomly. “LHSDesign” creates individuals using Latin hypercube sampling.
- **pop_size** (*int, optional*) – Number of individuals in the population. If none, some default population size based on number of objectives is chosen.
- **decision_variables** (*numpy array or list, optional*) – Pass decision variables to be added to the population.

eval_fitness ()

Calculate fitness based on objective values. Fitness = obj if minimized.

evaluate_individual (*ind: numpy.ndarray*)

Evaluate individual.

Returns objective values, constraint violation, and fitness.

Parameters *ind (np.ndarray)* –**evolve** (*EA: BaseEA = None, EA_parameters: dict = None*) → Population

Evolve the population with interruptions.

Evolves the population based on the EA sent by the user.

Parameters

- **EA** ("BaseEA") – Should be a derivative of BaseEA (Default value = None)
- **EA_parameters** (*dict*) – Contains the parameters needed by EA (Default value = None)

hypervolume (*ref_point*)

Calculate hypervolume. Uses package pygmo. Add checks to prevent errors.

Parameters *ref_point* –**keep** (*indices: list*)

Remove individuals from population which are not in “indices”.

Parameters *indices (list)* – Indices of individuals to keep**mate ()**

Conduct crossover and mutation over the population.

Conduct simulated binary crossover and bounded polynominal mutation.

non_dominated ()

Fix this. check if nd2 and nds mean the same thing

plot_init_()

Initialize animation objects. Return figure

plot_objectives (*iteration: int*)
 Plot the objective values of individuals in notebook. This is a hack.

Parameters **iteration** (*int*) – Iteration count.

update_ideal_and_nadir (*new_objective_vals: list = None*)
 Updates self.ideal and self.nadir in the fitness space.
 Uses the entire population if new_objective_vals is none.

Parameters **new_objective_vals** (*list, optional*) – Objective values for a newly added individual (the default is None, which calculated the ideal and nadir for the entire population.)

2.4 pyRVEA.Problem package

2.4.1 Submodules

2.4.2 pyRVEA.Problem.baseProblem module

```
class pyRVEA.Problem.baseProblem.baseProblem(name=None, num_of_variables=None, num_of_objectives=None, num_of_constraints=0, upper_limits=1, lower_limits=0)
```

Bases: `object`

Base class for the problems.

constraints (*decision_variables*)

Accept a sample and/or corresponding objective values.

Parameters **decision_variables** –

objectives (*decision_variables*)

Accept a sample. Return Objective values.

Parameters **decision_variables** –

update()

Update the problem based on new information.

2.4.3 pyRVEA.Problem.testProblem module

```
class pyRVEA.Problem.testProblem.testProblem(name=None, num_of_variables=None, num_of_objectives=None, num_of_constraints=0, upper_limits=1, lower_limits=0)
```

Bases: `pyRVEA.Problem.baseProblem.baseProblem`

Defines the problem.

constraints (*decision_variables, objective_variables*)

Calculate constraint violation.

Parameters

- **decision_variables** –
- **objective_variables** –

objectives (*decision_variables*) → list
Use this method to calculate objective functions.

Parameters **decision_variables** –

2.5 pyRVEA.Recombination package

2.5.1 Module contents

2.6 pyRVEA.Selection package

2.6.1 Submodules

2.6.2 pyRVEA.Selection.APD_select module

pyRVEA.Selection.APD_select.**APD_select** (*fitness*: list, *vectors*: ReferenceVectors, *penalty_factor*: float, *ideal*: list = None)
Select individuals for mating on basis of Angle penalized distance.

Parameters

- **fitness** (list) – Fitness of the current population.
- **vectors** (ReferenceVectors) – Class containing reference vectors.
- **penalty_factor** (float) – Multiplier of angular deviation from Reference vectors. See RVEA paper for details.
- **ideal** (list) – ideal point for the population. Uses the min fitness value if None.

Returns A list of indices of the selected individuals.

Return type [type]

2.6.3 pyRVEA.Selection.NSGAIII_select module

pyRVEA.Selection.NSGAIII_select.**NSGAIII_select** (*fitness*: list, *ref_dirs*: ReferenceVectors, *ideal_point*: list = None, *worst_point*: list = None, *extreme_points*: list = None, *n_survive*: int = None)

pyRVEA.Selection.NSGAIII_select.**associate_to_niches** (*F*, *ref_dirs*, *ideal_point*, *nadir_point*, *utopian_epsilon*=0.0)

pyRVEA.Selection.NSGAIII_select.**calc_niche_count** (*n Niches*, *niche_of_individuals*)

pyRVEA.Selection.NSGAIII_select.**calc_perpendicular_distance** (*N*, *ref_dirs*)

pyRVEA.Selection.NSGAIII_select.**get_extreme_points_c** (*F*, *ideal_point*, *extreme_points*=None)

Taken from pymoo

pyRVEA.Selection.NSGAIII_select.**get_nadir_point** (*extreme_points*, *ideal_point*, *worst_point*, *worst_of_front*, *worst_of_population*)

```
pyRVEA.Selection.NSGAIII_select.niching(F, n_remaining, niche_count,  
                                         niche_of_individuals, dist_to_niche)
```


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